



Federal Reserve Bank FedACH[®] Participation Agreement

FRBservices.org

Part 7: Merger Options

There are many options available to the survivor in a merger situation to assist in the conversion of ACH transactions from the "non-survivor" Routing Transit Number (RTN) to the new "survivor" number. Indicate the "nonsurvivor" RTN and check the options that you wish to be applied to the nonsurvivor.

Requested Effective Date (ACH Process Date) <i>(Must be received by the Reserve Bank at least five business days prior to the requested effective date)</i>	
Name of Non-Survivor Participating Institution	Non-Survivor Nine Digit RT/ETI
Option Selection	<input type="checkbox"/> AUTOMATED NOTIFICATIONS OF CHANGE: FedACH can create automated NOCs from items received by a non-survivor or obsolete RT/ETI in the case of mergers and acquisitions. These NOCs can only be created with a "C02" (Incorrect Routing Number). If any additional changes are needed such as "account number", the survivor will still have to initiate the NOCs. A fee of \$0.15 will be charged for each automated NOC. This includes the functionality usage fee and the NOC processing fee. <input type="checkbox"/> NOTIFICATION OF "MERGER" ON THE COMPOSITE RECEIVER FILE (CRF): You may have the "merged" status of a non-survivor RT/ETI indicated in the system-wide "Composite Receiver File" used by many originators, including Treasury, to determine the correct RT/ETI for any ACH participant. If you choose this option, originators may begin sending ACH items to the surviving RT/ETI based on the information contained in the CRF without changing account numbers. Please verify no "posting" problems occur that are associated with the RT/ETI change. You must be able to post items by account number only. <input type="checkbox"/> OBSOLETE ROUTING NUMBER REPORT: FedACH can create a weekly report for a survivor to identify items sent to an obsolete or non-survivor RT/ETI. This report contains the information necessary for the survivor to create their own NOCs and is delivered to the servicing Reserve Bank of the survivor RT/ETI and is available via hard copy to the surviving institution upon request. Report is restricted to non-surviving institutions receiving 100 items or less per month. <input type="checkbox"/> SETTLEMENT AND BILLING ROLL-UP FEATURE: You may wish to have the settlement and service billing fees rolled up to the account of the survivor or head office RT/ETI. If you choose this option, there WILL NOT be separate accounting entries, advices, or billing statements created for the non-survivor. <input type="checkbox"/> DELETION OF OBSOLETE ROUTING NUMBER: If you decide to delete a non-survivor or obsolete RT/ETI (volume is "0" or an acceptable level that you feel warrants deletion), you may choose this option. If this non-survivor or obsolete RT/ETI is deleted, ANY items (both commercial and government; debits and credits) will be rejected by the ACH operator and returned to the originating institution with an "R-13" reason code. Because of the serious consequences of this option, we strongly recommend that you exhaust all efforts to notify any originators of items to a RT/ETI before you delete a RT/ETI that is still receiving any ACH items.

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Survivor / Participating Institution Authorized Signature

Name of Survivor/Participating Institution	Nine Digit RT/ETI
Contact Name	Phone Number
Signature (authorized ACH signer on Official Authorization List)	Printed Name