
Trust Packet

United States Savings Bonds & Notes

Complete the appropriate form(s) according to the instructions. If required, sign the form(s) in the presence of an authorized certifying officer (available at a bank, trust company, or credit union).

Definitions:

Trust – Real or personal property held by one person for the benefit of another person (or oneself). Trusts have a specific purpose, a designated beneficiary, and specific duties for the trustee(s) as specified by the grantor. Every trust has a:

- Grantor or Donor – Person who creates the trust.
- Trustee(s) – The party or entity, named in the trust by the grantor, to care for and manage the property.
- Beneficiary – The party for whose benefit the trust was created and who receives the income from the trust.

Trust Agreement / Trust Instrument – The actual document that sets out in writing the authority, duties, and rights of everyone involved. It can be called a *declaration of trust, deed of trust, trust indenture, or agreement of trust*.

Types of Trusts:

Private Trust Estates

- Personal Trusts – Created by an individual to benefit himself or others. Personal trusts can be revocable or irrevocable, and may be known as:
 - *Declaration of Trust (U/D/T)* – The grantor and trustee are the same person;
 - *Trust Under Agreement (U/A)* – The grantor and trustee are not the same; or
 - *Testamentary Trust (U/W)* – Created under a will; it doesn't take effect until the grantor's death.

Public or Quasi-Public Trusts – Funds for which public bodies or officers act as trustees under express authority of law.

Trust Registrations:

Security registrations must include the names(s) of the trustee(s), name(s) of the grantor(s) – unless the trust is known by the trust name, such as “Smith Family Trust,” and the date the trust was created. Also, the trustee(s) must sign for transaction requests for accounts registered in trust form.

Forms:

[PDF 1455](#) - Request by Fiduciary for Distribution of United States Treasury Securities
(Form is also available for download at <http://www.TreasuryDirect.gov/forms/sav1455.pdf>)

[PDF 1851](#) - Request to Reissue United States Savings Bonds to a Personal Trust
(Form is also available for download at <http://www.TreasuryDirect.gov/forms/sav1851.pdf>)

[PDF 4000](#) - Request to Reissue United States Savings Bonds
(Form is also available for download at <http://www.TreasuryDirect.gov/forms/sav4000.pdf>)

[PDF 5396](#) - Direct Deposit Sign-Up Form
(Form is also available for download at <http://www.TreasuryDirect.gov/forms/sav5396.pdf>)

Additional Requirements:

If bonds are registered in the name of a trust and a change in the registration is being requested:

- Personal Trust – Provide a copy of the entire trust agreement with any amendments or pertinent pages and any amendments certified to be a true and correct copy of the original. The following pages must be included:
 - The page showing the name and date of the trust;
 - The page(s) identifying the successor trustee(s) (if more than one successor trustee is named, also provide the portion of the trust that states if they may act independently);
 - The page with the grantor's certified signature;
 - Any amendments to the trust that may alter the information on the pages submitted or limit the authority of the trustee(s) to request the transaction.
- Testamentary Trust – Provide a certified copy of the will, under court seal. (The will must be probated in order for a testamentary trust to be effective.)

In the event the trustee named in the registration of the bond(s) is no longer acting, also provide:

- A certified copy of the trustee's death certificate; or
- A letter of resignation

If the trust instrument or will does not name a successor trustee, a certified copy of the court order or other evidence is required.

An authorized trust registration should include the name(s) of the trustee(s), name(s) of the grantor(s) and, if applicable, the date the trust was created.

Note: Additional forms or documentation may be required depending on the transaction requested.

Send To:

Bureau of the Public Debt
PO Box 7012
Parkersburg WV 26106-7012

Include the completed form(s), bonds, and the trust instrument (if applicable).

For further information, visit us on the web at www.treasurydirect.gov or call 304-480-7711.