

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® Message

We (the Participant named below) designate the following authorized individual (“Technical Contact”) to receive a Federal Reserve Bank server-based certificate that allows Participant to use the Federal Reserve Banks’ FedLine Direct access solution. Please issue a server-based certificate to this Technical Contact that would grant the Participant access to FedLine Direct and the services requested below. The Technical Contact will comply with all terms and conditions specified in Operating Circular No. 5 (“OC 5”) and the Certification Practice Statement (“CPS”), as well as all applicable security procedures, as they are all amended from time to time. OC 5 and the CPS are both located at <http://frbsecurities.org/OperatingCirculars>.

Section 1 – General Information	
Date of Request	Federal Reserve Bank District
Request Type	<input type="checkbox"/> ADD NEW SERVER-BASED CERTIFICATE (A new server-based certificate(s) will be issued. Complete Sections 1, 2, and 3.1) <input type="checkbox"/> MODIFY TECHNICAL CONTACT PROFILE INFORMATION (Only applies to Technical Contact Name, E-mail address, Street Address, Telephone Number, and/or Fax Number. Complete Sections 1 and 2) <input type="checkbox"/> MODIFY AN EXISTING SERVER-BASED CERTIFICATE (Complete Sections 1, 2, and 3.2) <input type="checkbox"/> REVOKE AND REISSUE AN EXISTING SERVER-BASED CERTIFICATE (Complete Sections 1, 2, and 3.3) <ul style="list-style-type: none"> <input type="checkbox"/> Server-based certificate was downloaded incorrectly. (Current server-based certificate will be revoked and new server-based certificate will be issued and delivered) <input type="checkbox"/> Server-based certificate has been compromised. (Current server-based certificate will be revoked and new server-based certificate will be issued and delivered) <input type="checkbox"/> Other, please state: <input type="checkbox"/> REVOKE AN EXISTING SERVER-BASED CERTIFICATE (Select this option if the Participant is no longer using the server-based certificate. Complete Sections 1, 2, and 3.4) <input type="checkbox"/> RENEW AN EXISTING SERVER-BASED CERTIFICATE that will expire within the next 30 days. (Current server-based certificate will be deleted and new server-based certificate will be issued and delivered. Complete Sections 1, 2, and 3.5)

Section 2 –Profile Information (All fields are mandatory)	
Technical Contact’s Name (First, Middle Initial, Last)	
Technical Contact’s E-mail Address (Must be a valid individual E-mail address. Group E-mail addresses will not be accepted)	
Depository or Authorized Institution’s Name (“Participant”)	
Street Address	
City / State / Zip	
Telephone Number (Main switchboard with area code.)	
Fax Number	
End User Authorization Contact’s Name (The EUAC authorizing this request cannot be the same person as the Technical Contact.)	
EUAC’s E-mail Address (Must be a valid individual E-mail address. Group E-mail addresses will not be accepted)	
EUAC’s Telephone Number	
EUAC’s Street Address (Do not specify a P.O. Box number)	

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Section 3.1 - Add a New Server-Based Certificate(s)

Use this section to "Add" one or more server-based certificates.

Server-Based Certificate Common Name = Sequence #., Environment, DI Alias QMGR Name					
Sequence # <small>(Provided to your institution by the Federal Reserve Bank)</small>	Environment: <input type="checkbox"/> P (Production) <input type="checkbox"/> C (DI Test)	DI Alias QMGR Name <small>(Provided to your institution by the Federal Reserve Bank)</small>	Primary or Master Routing Transit Number (RTN) processed in this QMGR	Services to be accessed	Router Name(s) <small>(List the names of all routers using the selected services)</small>
MQXXX	<input type="checkbox"/> P (Production) <input type="checkbox"/> C (DI Test)	DICIMQXXXX		Fedwire® Funds <input type="checkbox"/> Add	
				Fedwire Securities <input type="checkbox"/> Add	
				National Settlement Service <input type="checkbox"/> Add	
MQXXX	<input type="checkbox"/> P (Production) <input type="checkbox"/> C (DI Test)	DICIMQXXXX		Fedwire Funds <input type="checkbox"/> Add	
				Fedwire Securities <input type="checkbox"/> Add	
				National Settlement Service <input type="checkbox"/> Add	
MQXXX	<input type="checkbox"/> P (Production) <input type="checkbox"/> C (DI Test)	DICIMQXXXX		Fedwire Funds <input type="checkbox"/> Add	
				Fedwire Securities <input type="checkbox"/> Add	
				National Settlement Service <input type="checkbox"/> Add	

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Section 3.2 - Modify an Existing Server-Based Certificate

Use this section to "Modify" the services and/or Router Name(s) associated with one or more of your institution's existing server-based certificates.

- Make the appropriate selection in the "Services to be accessed" column to "Add" or "Delete" services associated with this server-based certificate.
- List the new Router Name(s) associated with the server-based certificate in the "Router Name(s)" column.

Server-Based Certificate Common Name (Example: MQ001 C DICIMQ0010)	Primary or Master Routing Transit Number (RTN) processed in this QMGR	Services to be accessed	Router Name(s) <small>(List the names of all routers that used or will be using the selected services)</small>
		Fedwire Funds <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Securities <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		National Settlement Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Funds <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Securities <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		National Settlement Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Funds <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Securities <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		National Settlement Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	

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Section 3.3 - Revoke and Reissue an Existing Server-Based Certificate

Use this section to "Revoke and Reissue" one or more of your institution's existing server-based certificates

- Provide the information below for all existing server-based certificates you are requesting to be revoked and reissued.

Server-Based Certificate Common Name (Example: MQ001 C DICIMQ0010)	Primary or Master Routing Transit Number (RTN) processed in this QMGR	Services associated with this server certificate
		Fedwire Funds <input type="checkbox"/> Fedwire Securities <input type="checkbox"/> National Settlement Service <input type="checkbox"/>
		Fedwire Funds <input type="checkbox"/> Fedwire Securities <input type="checkbox"/> National Settlement Service <input type="checkbox"/>
		Fedwire Funds <input type="checkbox"/> Fedwire Securities <input type="checkbox"/> National Settlement Service <input type="checkbox"/>

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Section 3.4 - Revoke an Existing Server-Based Certificate

Use this section to "Revoke" one or more of your institution's existing server-based certificates

- Provide the information below for all existing server-based certificates you are requesting to be revoked.

Server-Based Certificate Common Name (Example: MQ001 C DICIMQ0010)	Primary or Master Routing Transit Number (RTN) processed in this QMGR	Services associated with this server certificate	Router Name(s) (List the names of all routers that used or will be using the selected services)
		Fedwire Funds <input type="checkbox"/>	
		Fedwire Securities <input type="checkbox"/>	
		National Settlement Service <input type="checkbox"/>	
		Fedwire Funds <input type="checkbox"/>	
		Fedwire Securities <input type="checkbox"/>	
		National Settlement Service <input type="checkbox"/>	
		Fedwire Funds <input type="checkbox"/>	
		Fedwire Securities <input type="checkbox"/>	
		National Settlement Service <input type="checkbox"/>	

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Section 3.5 - Renew an Existing Server Certificate

Use this section to “Renew” one or more of your institution’s server certificates.
 • Provide the information below for all existing server certificates you are requesting to be renewed.

Certificate Common Name (Example: MQ001 C DICIMQ0010)	Primary or Master Routing Transit Number (RTN) processed in this QMGR	Services associated with this server certificate
		Fedwire Funds <input type="checkbox"/>
		Fedwire Securities <input type="checkbox"/>
		National Settlement Service <input type="checkbox"/>
		Fedwire Funds <input type="checkbox"/>
		Fedwire Securities <input type="checkbox"/>
		National Settlement Service <input type="checkbox"/>
		Fedwire Funds <input type="checkbox"/>
		Fedwire Securities <input type="checkbox"/>
		National Settlement Service <input type="checkbox"/>

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Please fax this completed form to the appropriate Computer Interface Technician AND RETAIN A COPY FOR YOUR RECORDS (may be reviewed by examiners/auditors)

Submit your form via fax to:

(866) 333-8076

If you have questions when completing this form please call: (888) 881-6700

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Federal Reserve Bank Use Only	Signatures	Date
Lighthouse		
EUAC/SE DD		
Entry		
Implementation Plan		
Service/Router		

Appendix - Service Descriptions and Definitions (Information only; do not send in with form):

Service Descriptions:

Service Name	Description
Fedwire Funds	Provides the ability to send or receive time-critical payments on behalf of corporate or individual clients, provide cash concentration, settle positions with other financial institutions related to other clearing arrangements, submit federal tax payments, and buy and sell Federal Reserve funds.
Fedwire Securities	Provides the ability to maintain and transfer securities issued by the U.S. Treasury, many federal government agencies, government sponsored enterprises (GSEs) and some international organizations.
National Settlement Service	The National Settlement Service is a multilateral settlement service owned and operated by the Federal Reserve Banks. The service is offered to depository institutions that settle for participants in clearinghouses, financial exchanges and other clearing and settlement groups. A settlement agent, acting on behalf of those depository institutions in the settlement arrangement, electronically submits settlement files to the National Settlement Service.

Definitions:

- **Sequence Number** – Code added to the Server-Based Certificate Distinguished Name which makes it unique between multiple instances of the same Queue Manager Alias (Primary and Contingency QMGRs).
- **Environment ID** – Identifies which environment a server-based certificate is intended for
 - C = Depository Institution Test
 - P = Production
- **DI Alias QMGR Name** – Alias QMGR Name of the DI's QMGR provided by the FRB to abstract the actual QMGR Names from the channel definitions. This is done to decouple FRB and DI maintenance and support procedures and windows.
- **RTN** - A single Routing Transit Number (RTN) related to the transactions that will be processed in a particular QMGR. Even if a DI intends to process transactions from multiple RTNs, a primary or master must be identified for routing purposes. This is the ABA# for the Bank completing the form.
- **Services to be accessed** – Identify which Federal Reserve Financial Services will be accessed through a queue manager. Select *Fedwire Funds* for funds and/or *Fedwire Securities* for securities.
- **Router Name** – The name(s) of the router(s) using each service.