

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

We (the Participant named below) designate the following authorized individual (“Technical Contact”) to receive a Federal Reserve Bank server-based certificate that allows Participant to use the Federal Reserve Banks’ FedLine Direct access solution. Please issue a server-based certificate to this Technical Contact that will grant the Participant access to FedLine Direct and the services requested below. The Technical Contact will comply with the terms and conditions specified in Operating Circular No. 5 (“OC 5”) and the Certification Practice Statement (“CPS”), as well as all applicable security procedures, as they are all amended from time to time. OC 5 and the CPS are both located at <http://frbervices.org/OperatingCirculars/index.html>.

Section 1 – General Information	
Date of Request	Federal Reserve Bank District
Request Type	<input type="checkbox"/> ADD NEW SERVER-BASED CERTIFICATE (A new server certificate(s) will be issued. Complete Sections 1, 2, and 3.1) <input type="checkbox"/> MODIFY TECHNICAL CONTACT PROFILE INFORMATION (Only applies to Technical Contact Name, E-mail address, Street Address, Telephone Number, and/or Fax Number. Complete Sections 1 and 2) <input type="checkbox"/> MODIFY AN EXISTING SERVER-BASED CERTIFICATE (Complete Sections 1, 2, and 3.2) <input type="checkbox"/> REVOKE AND REISSUE AN EXISTING SERVER-BASED CERTIFICATE (Complete Sections 1, 2, and 3.3) <input type="checkbox"/> Server-based certificate was downloaded incorrectly. (Current server-based certificate will be revoked and new server-based certificate will be issued and delivered) <input type="checkbox"/> Server-based certificate has been compromised. (Current server-based certificate will be revoked and new server-based certificate will be issued and delivered) <input type="checkbox"/> Other, please state: <input type="checkbox"/> REVOKE AN EXISTING SERVER-BASED CERTIFICATE (Select this option if the Participant is no longer using the server-based certificate. Complete Sections 1, 2, and 3.4) <input type="checkbox"/> RENEW AN EXISTING SERVER-BASED CERTIFICATE that will expire within the next 30 days. (Current server-based certificate will be deleted and new server-based certificate will be issued and delivered. Complete Sections 1, 2, and 3.5)

Section 2 – Profile Information (All fields are mandatory)	
Technical Contact’s Name (First, Middle Initial, Last)	
Technical Contact’s E-mail Address (Must be a valid individual E-mail address. Group E-mail addresses will not be accepted)	
Depository or Authorized Institution’s Name (“Participant”)	
Street Address	
City / State / Zip	
Telephone Number (Main switchboard with area code.)	
Fax Number	
End User Authorization Contact’s Name (The EUAC authorizing this request cannot be the same person as the Technical Contact.)	
EUAC’s E-mail Address (Must be a valid individual E-mail address. Group E-mail addresses will not be accepted)	
EUAC’s Telephone Number	
EUAC’s Street Address (Do not specify a P.O. Box number)	

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Section 3.1 - Add a New Server-Based Certificate(s)

Use this section to "Add" one or more server-based certificates. Note that if your organization selects **FedACH® Services**, no other services can be selected. **A separate server-based certificate must be used for FedACH Services. You cannot add other Services to a FedACH Services certificate.**

Server-Based Certificate Common Name = Sequence #., Environment, Connect:Direct® Node Name					
Sequence # <small>(Provided to your institution by the Federal Reserve Bank)</small>	Environment:	Connect:Direct Node Name	Primary or Master Routing Transit Number (RTN) processed through this Node	Services to be accessed	Router Name(s) <small>(List the names of all routers using the selected services)</small>
FDXXX	<input type="radio"/> P (Production) <input type="radio"/> C (DI Test)			FedACH® Services <input type="checkbox"/> Add	
FDCDXXX	<input type="radio"/> P (Production)			Account Services <input type="checkbox"/> Add	
				ACH_Reclamation <input type="checkbox"/> Add	
				Billing Services <input type="checkbox"/> Add	
				DORPS Application Services <input type="checkbox"/> Add	
				Fedwire® Funds Services (Statements) <input type="checkbox"/> Add	
				Fedwire Securities Services (Issuer files and Statements) <input type="checkbox"/> Add	
				AMA Services <input type="checkbox"/> Add	

more FLD Services on the next Page...

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Sequence # <small>(Provided to your institution by the Federal Reserve Bank)</small>	Environment: <small>(Select on previous page)</small>	Connect:Direct Node Name	Primary or Master Routing Transit Number (RTN) processed through this Node	Services to be accessed	Router Name(s) <small>(List the names of all routers using the selected services)</small>
				ASAP Services <input type="checkbox"/> Add	
				CASH-LINK Services <input type="checkbox"/> Add	
				CASH TRACK Services <input type="checkbox"/> Add	
				EFTPS Deposit Ticket Debit Voucher Services <input type="checkbox"/> Add	
				SSB Collateral Report Services <input type="checkbox"/> Add	
				Stored Value Card Services <input type="checkbox"/> Add	
				CBIC Credit Risk Services <input type="checkbox"/> Add	
				CHIPS Services <input type="checkbox"/> Add	
				Treasury Investment Program Services <input type="checkbox"/> Add	
				Board of Governors Securities Information Service <input type="checkbox"/> Add	

more FLD Services on the next Page...

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Sequence # (Provided to your institution by the Federal Reserve Bank)	Environment: (Select on previous page)	Connect:Direct Node Name	Primary or Master Routing Transit Number (RTN) processed through this Node	Services to be accessed	Router Name(s) (List the names of all routers using the selected services)
				Bank Management Service <input type="checkbox"/> Add	
				PSR In-Transit Service <input type="checkbox"/> Add	
				Book Entry Savings Bonds <input type="checkbox"/> Add	
				Money Market Instruments <input type="checkbox"/> Add	

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Section 3.2 - Modify an Existing Server-Based Certificate

Use this section to “Modify” the Router Name(s) associated with one or more of your institution’s existing server-based certificates. **Note that a separate server-based certificate must be used for FedACH Services. You cannot add other Services to a FedACH Services certificate.**

Server-Based Certificate Common Name <small>(Example: FD001 C NODE NAME or FDCD001 P NODE NAME)</small>	Primary or Master Routing Transit Number (RTN) processed through this Node	Services to be accessed	Router Name(s) <small>(List the names of all routers that used or will be using the selected services)</small>
---	--	-------------------------	---

		Account Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		ACH Reclamation <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Billing Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		DORPs Application Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Funds Services (Statements) <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Fedwire Securities Services (Issuer files and Statements) <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		AMA Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		ASAP Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		CASH-LINK Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		CASH TRACK Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		SSB Collateral Report Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Stored Value Card Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Server-Based Certificate Common Name (Example: FD001 C <i>NODE NAME</i> or FDCD001 P <i>NODE NAME</i>)	Primary or Master Routing Transit Number (RTN) processed through this Node	Services to be accessed	Router Name(s) (List the names of all routers that used or will be using the selected services)
		CBIC Credit Risk Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		CHIPS Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Treasury Investment Program Services <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Board of Governors Securities Information Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Bank Management Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		PSR In-Transit Service <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Book Entry Savings Bonds <input type="checkbox"/> Add <input type="checkbox"/> Delete	
		Money Market Instruments <input type="checkbox"/> Add <input type="checkbox"/> Delete	

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Section 3.3 - Revoke and Reissue an Existing Server-Based Certificate

Use this section to “Revoke and Reissue” one or more of your institution’s existing server-based certificates

- Provide the information below for all existing server-based certificates you are requesting to be revoked and reissued.

Server-Based Certificate Common Name	Primary or Master Routing Transit Number (RTN) processed through this Node

Section 3.4 - Revoke an Existing Server-Based Certificate

Use this section to “Revoke” one or more of your institution’s existing server-based certificates

- Provide the information below for all existing server-based certificates you are requesting to be revoked.

Server-Based Certificate Common Name <small>(Example: FD001 C <i>NODE NAME</i> or FDCD001 P <i>NODE NAME</i>)</small>	Primary or Master Routing Transit Number (RTN) processed through this Node	Router Name(s) <small>(List the names of all routers that used or will be using the selected services)</small>

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Section 3.5 - Renew an Existing Server-Based Certificate

Use this section to “Renew” one or more of your institution’s server-based certificates.

- Provide the information below for all existing server-based certificates you are requesting to be renewed.

Server-Based Certificate Common Name (Example: FD001 C <i>NODE NAME</i> or FDCD001 P <i>NODE NAME</i>)	Primary or Master Routing Transit Number (RTN) processed through this Node

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Please fax this completed form to the appropriate Computer Interface Technician AND RETAIN A COPY FOR YOUR RECORDS (may be reviewed by examiners/auditors)

Submit your form via fax to:

(866) 333-8076

If you have questions when completing this form please call: (888) 881-6700

"FedLine," "FedLine Direct," "FedACH" and "Fedwire" are registered service marks of the Federal Reserve Banks. A complete list of marks owned by the Federal Reserve Banks is available at www.FRBservices.org.
"Connect:Direct" is a registered trademark of Sterling Commerce, Inc.

Federal Reserve Bank Use Only	Signatures	Date
Lighthouse		
EUAC/SE DD		
Entry		
Implementation Plan		
Service/Router		

Appendix - Service Descriptions and Definitions (Information only; do not send in with form):

Service Descriptions:

Service Name	Description
FedACH® Services	Provide the ability to transmit and receive input and output files with FedACH in an unattended mode. In addition to payments files, customers may also receive file acknowledgements, advices and balance reports.
Account Services	Offers a variety of reports that provide a reliable, complete and timely source of detail and summary information about entries debited or credited to an institution's account.
Billing Services	Provides details of service charges and transaction data for a depository institution based on services performed by the Federal Reserve Banks.
DORPs Application Services	Provides reports produced for financial institutions that incur daylight overdraft activity in their Federal Reserve Bank account as well as those institutions directed to receive reports for monitoring purposes.
Fedwire® Funds Service (Statements)	Provides a summary of the participant's daily Fedwire Funds Service activities.
Fedwire Securities Service (Issuer files and Statements)	<ul style="list-style-type: none"> Issuer Files – Used by Fedwire Securities issuers to send files to prepare for the issuance of securities on the Fedwire Securities Service and calculation of the upcoming principal and interest payments. Statements - Provide a variety of statements for Fedwire Securities Service participants. These statements reflect a participant's daily Fedwire Securities Service activities, holdings' positions, and anticipated debit/credit payments originated from upcoming P&I payments or claim adjustments.
ACH Reclamation	The reclamation process is used by the U.S. Treasury to collect funds that have been disbursed to depository Institutions by electronic funds transfer or check to beneficiaries who are deceased and, in addition, in the case of check reclamations, payees who claim non-receipt.
AMA Services	The Account Management Agent (AMA) is the system that provides account management services to the USDA Food and Nutrition Service (FNS) for the Food Stamp Program's ASAP account.
ASAP Services	Automated Standard Application for Payments (ASAP) is an electronic payment and information system that enables grantee organizations receiving federal funds to draw from accounts set up and pre-authorized by federal agencies.
CASH-LINK Services	CASH-LINK functions as a deposit-reporting and cash-concentration system that expedites the flow of government funds into the Treasury's General Account (TGA).
CASH TRACK Services	CASH TRACK is an automated system used by the Treasury to track and forecast, on a cash basis, the daily receipts and expenditures of the U.S. government.
EFTPS Deposit Ticket Debit Voucher Services	Provides Electronic Federal Tax Payment System (EFTPS) Treasury Financial Agent (TFA) Deposit Ticket Debit Voucher Services.
SSB Collateral Report Services	State Street Bank (SSB) Bi-weekly In-transit Collateral Report.

Federal Reserve Bank External Server-Based Certificate Request Form for FedLine Direct® File

Stored Value Card Services	Provide the ability to transmit and receive input and output files with stored value card customers for the EZPay and EagleCash programs.
CBIC Credit Risk Services	Provide Commercial Borrower in Custody services.
CHIPS Services	Provide the ability for NY Clearing House to transmit three files to NY Mainframe environment on a daily basis: Clearing House Interbank Payments System (CHIPS) detail transaction data, CHIPS summary information per DI, CHIPS Traffic Analysis Report.
Treasury Investment Program Services	Treasury Investment Program (TIP) is a Federal Reserve System application used to collect and report corporate tax payments and invest Treasury Funds with participating financial institutions.
AMA Services	The Account Management Agent (AMA) is the system that provides account management services to the USDA Food and Nutrition Service (FNS) for the Food Stamp Program's ASAP account.
ASAP Services	Automated Standard Application for Payments (ASAP) is an electronic payment and information system that enables grantee organizations receiving federal funds to draw from accounts set up and pre-authorized by federal agencies.
Board of Governors Securities Information Service	Provides the ability for daily securities data to be transmitted to the Board of Governors
Bank Management Service	Allows the U.S. Department of the Treasury's Financial Management Service (FMS) to reimburse designated financial agents by collecting, analyzing & approving expense information.
PSR In-Transit Service	Provides the ability to transmit a file containing CUSIP par balance information for collateral pledged to secure daylight overdrafts.
Book Entry Savings Bonds	For use by current Savings Bonds Book Entry customers to submit and receive files
Money Market Instruments	Provides the ability for daily financial market instrument data to be transmitted by Depository Trust Company (DTC) to the Federal Reserve Bank of New York

Definitions:

- **Sequence Number** – Code added to the Certificate Distinguished Name which makes it unique from other server-based certificates issued to the same Connect:Direct Node.
- **Environment ID** – Identifies which environment a server-based certificate is intended for
 - P = Production
 - C = Depository Institution Test
- **Connect:Direct Node Name** – The name of the Connect:Direct Node as defined within Connect:Direct.
- **RTN** - A single Routing Transit Number (RTN) related to the transactions that will be sent through a particular Connect:Direct Node. Even if a DI intends to process transactions from multiple RTNs, a primary or master must be identified for routing purposes. This is the ABA# for the Bank completing the form.
- **Services to be accessed** – Identify which Federal Reserve Financial Services will be accessed through a Connect:Direct Node.
- **Router Name** – The name(s) of the router(s) using each service.