



Federal Reserve Bank FedACH[®] Participation Agreement

INTERNAL FR

FRBservices.org

Part 3A: Receipt Options for Participating Institutions

Table R.4 – Participating Institution Item Type Receipt Sort Options

Requested Effective Date (ACH Process Date) <i>(Must be received by the Reserve Bank at least ten business days prior to the requested effective date. Actual effective date may vary from requested date.)</i>	
Select ONE option	<input type="checkbox"/> Receive ACH government items only <input type="checkbox"/> Receive ACH government and commercial items

1. Item Type Receipt Table

Item Type	Send to either:	
	Participating Institution RTN/ETI	Service Provider RTN/ETI
401 – Corporate Government	<input type="checkbox"/>	<input type="checkbox"/>
402 – Corporate Commercial	<input type="checkbox"/>	<input type="checkbox"/>
403 – Consumer Government	<input type="checkbox"/>	<input type="checkbox"/>
404 – Consumer Commercial	<input type="checkbox"/>	<input type="checkbox"/>
405 – Returns and Rejects	<input type="checkbox"/>	<input type="checkbox"/>
406 – Notification of Change and Death Notification Entries	<input type="checkbox"/>	<input type="checkbox"/>
407 – International ACH Transactions, Truncation and Destroyed Check Entries	<input type="checkbox"/>	<input type="checkbox"/>

2. Designate Participating Institution Receipt Channel (if applicable)

For a Participating Institution that is a Receiving Point for item types designated above, please identify the channels your institution will use to receive the item types. Items will be received in commingled files.

Electronic Receipt Channel	Item Types
<input type="checkbox"/> FedLine Advantage [®]	
<input type="checkbox"/> FedLine Command [®]	
<input type="checkbox"/> FedLine Direct [®]	

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3. Optional File Sort (if applicable)

For a Participating Institution or Service Provider that will be the Receiving Point for Participating Institution’s item types, file sorts are available. **A separate charge applies for the IAT sorts.**

A Participating Institution or Service Provider that elects a file sort will receive Participating Institution’s item types according to the sort selected below. A Service Provider that does not select a sort will receive Participating Institution’s item types according to Service Provider’s default file delivery instructions.¹ A Participating Institution that does not select a sort will receive a single, unsorted file containing all the item types that Participating Institution has elected to receive as Receiving Point.

Participating Institution or Service Provider may select one sort group.

Select ONE File Sort Group	Standard Sort Groups 303 – file types AJ09, AJ10 308 – file types AJ14, AJ15 309 – file types AJ07, AJ08, AJ15	IAT Sort Groups* (a separate charge applies) 304 – file types AJ06, AJ11 306 – file types AJ06, AJ10, AJ13, AJ14 307 – file types AJ06, AJ07, AJ08, AJ10, AJ13 310 – file types AJ06, AJ14, AJ16 *IAT Sort Groups deliver IATs in the AJ06 file, which also include TRC, TRX, and XCK items.
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4. Optional Item Type Files (if applicable)

A Service Provider² that is a Receiving Point and has been designated to receive Participating Institution’s 405, 406 or 407 item types may elect to receive such item types in a separate 405, 406 or 407 item type file. **A separate charge applies for the 407 files.**

A Service Provider that elects one of these files will receive a file containing only Participating Institution’s 405, 406 or 407 item types. Any other item types that Participating Institution has designated Service Provider to receive will be delivered according to Service Provider’s default file delivery instructions.

Select ONE Item Type File	Service Provider elects to receive Participating Institution’s 405 items in a discrete file. Service Provider elects to receive Participating Institution’s 406 items in a discrete file. Service Provider elects to receive Participating Institution’s 407 items in a discrete file.
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¹ Default file delivery instructions are the selections made on Service Provider’s R.5 or R.6 Table.
² Item type files are not available to Participating Institutions that serve as their own Receiving Point.

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5. Designate or Delete Service Provider (if applicable)

For a Participating Institution that designated in the table above item types to be delivered to a Service Provider, select “Add” and provide Service Provider name and information in the box below. If Participating Institution will use different Service Providers for different item types, a separate Table R.4 must be submitted for each Service Provider.

Participating Institution designates the entity named below as its Service Provider and, with respect to the Item Type(s) designated to be delivered to the Service Provider, authorizes:

- the Service Provider to serve as Participating Institution’s Receiving Point by receiving Participating Institution’s ACH Items from the Reserve Bank through an electronic connection that Service Provider owns;
- the Service Provider to designate another entity as Participating Institution’s Receiving Point;
- the Service Provider and any Receiving Point designated by the Service Provider to perform all the actions that a Receiving Point agent may perform on behalf of a receiving bank under Operating Circular 4, including, but not limited to, requesting remakes; and
- the Reserve Bank to act on the instructions of Service Provider and any Receiving Point designated by the Service Provider with respect to the handling of ACH items that the Reserve Bank sends to the Participating Institution.

For a Participating Institution that wants to stop sending item types to a Service Provider, select “Delete” and provide Service Provider name and information in the box below. To replace the deleted Service Provider with a different Service Provider, use a separate Table R.4 form.

Action	Add (<i>Participating Institution and Service Provider must sign authorization boxes below</i>) Delete (<i>Participating Institution ONLY must sign authorization box below</i>)
Item Type(s)	

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Service Provider Authorized Signature

Name of Service Provider		Nine Digit RT/ETI	
Contact Name	Phone Number		Email Address
Signature (authorized ACH signer on Official Authorization List)		Printed Name	

Participating Institution Authorized Signature

Name of Participating Institution		Nine Digit RT/ETI	
Contact Name	Phone Number		Email Address
Signature (authorized ACH signer on Official Authorization List)		Printed Name	

Completed agreements can be faxed to 877-281-3647 or e-mailed to: ccc.bankservices@kc.frb.org