

# **Reporting Central User Guide**

## **FFIEC 009a**

# **Country Exposure Information Report**

## **Federal Reserve System**

**Statistics Function  
June 2026**

## Options for submitting

1. **XML File.** See the XML Upload user guide for the steps on how to create an XML file.
2. **Data Entry**

## XML Header Rows

The following text will appear at the beginning of each of your XML files for the FFIEC 009a. The text provided here can be copied into your XML file.

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<!--Sample XML file generated by XMLSpy v2015 sp1 (x64) (http://www.altova.com)-->
```

```
<reportingFile xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd"  
createTime="09:30:47+05:00" createDate="1957-08-13" xmlns:xsi="http://www.w3.org/2001/XMLSchema-  
instance">
```

## How the XML File is Structured

Each XML file contains financial data for one reporting entity and as-of date. The data in the file is organized into the following sections.

- Reporting File
  - Create date
  - Create time
- Report
  - Respondent Id
  - As Of Date
  - Series Id
- Item Data
  - Context used for data in a schedule
  - Value

### **XML structure or schema:**

```
<?xml version="1.0" encoding="UTF-8"?>
<reportin                                -in                                -05-20"
createTime="09:30:47+05:00" xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd">
  <report series="FFIEC009a" asOfDate="2026-06-30" reporterId="12345">
<!-- Cover Page items -->
<itemData confidential="N" estimated="N" mdrm=" FCEX1111"> <value>cover page value
</value></itemData>

<!-- Schedule items -->
  <itemData confidential="N" estimated="N" mdrm=" FCEX1111"> <value>reported value </value>context
mdrm=FCEX9207 value=item code/></itemData>

</report>
</reportingFile>
```

### **Important Notes:**

- 1) In the Cloud Reporting Central application the series name should be fully listed in the XML as "FFIEC009a", unlike the legacy Reporting Central application where the series name in the text file was "FIEC009A".
- 2) The financial data items will have two MDRMs, which are highlighted above. The first MDRM will be for the Column MDRM and the second, context MDRM (always CEX59209) will be for either the Country, Total Region or Unallocated Codes which are found in Appendix A below.

**Reporting File** is a wrapper that encapsulates all the data in the file; it must include the create date and time of the file.

**Report** is a wrapper that encapsulates all the data items; it must include attributes to identify the respondent Reporter Id, the report As of Date, and the report Series Id.

**Item Data** is a wrapper that encapsulates the report data values for the reporting entity and as of date.

**Important:** The upload xml file created can have any name but must have a .xml file extension as the file type.

## XML Tags and Their Meanings

| XML Tag/Attribute                                                                                                                                                                                                                                                                                                     | Meaning/How Used                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <code>&lt;?xml version="1.0" encoding="UTF-8"?&gt;</code><br><code>&lt;reportingFile</code><br><code>xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" createDate="2016-05-20"</code><br><code>createTime="09:30:47+05:00"</code><br><code>xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd"&gt;</code> | <p>***NOTE*** The code in the first 3 lines of the file is to be implemented as is, do not change the dates or time. This information is used by Reporting Central to identify the XML schema used by the system. The RCInputXML-1.0-20160520.xsd is not necessary for the creation of the xml file and will not be provided since it is part of the Reporting Central submission system.</p> <p>We do not recommend opening the generated xml file with any third-party applications as those applications would not contain the framework and backend files on the Reporting Central system.</p> |
| <code>&lt;reportingFile&gt; ... &lt;/reportingFile&gt;</code>                                                                                                                                                                                                                                                         | A tag used to encapsulate all the data in the file. Note all tags must have an opening and ending version.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <code>xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd"</code>                                                                                                                                                                                                                                              | Attribute of the reportingFile tag which specifies the location of the Schema.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <code>createTime="09:30:47+05:00"</code>                                                                                                                                                                                                                                                                              | Attribute of the reportingFile tag which specifies the time the file was created. For the createTime, use the value provided here.                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <code>createDate="2016-08-13"</code>                                                                                                                                                                                                                                                                                  | Attribute of the reportingFile tag which specifies the date the file was created. For the createDate, use the value provided here.                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <code>xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</code>                                                                                                                                                                                                                                                    | Attribute of the reportingFile tag which tells the schema-validator that all elements used in the XML file are declared in the "http://www.w3.org/2001/XMLSchema-instance" namespace.                                                                                                                                                                                                                                                                                                                                                                                                              |
| <code>&lt;report&gt; ... &lt;/report&gt;</code>                                                                                                                                                                                                                                                                       | A tag used to identify the series name, the reporting entity and the as of date of the data. Note all tags must have an opening and ending version.                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <code>reporterId="12345"</code>                                                                                                                                                                                                                                                                                       | Attribute of the report tag which specifies the respondent ID. Leading zeros of the respondent ID should not be included; therefore, this field does not have to be 10 digits unlike a text file format. Example: respondent ID 0000012345 is reported as 12345.                                                                                                                                                                                                                                                                                                                                   |
| <code>asOfDate="2026-06-30"</code>                                                                                                                                                                                                                                                                                    | Attribute of the report tag which specifies the as of date of the report. YYYY-MM-DD format.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <code>series="FFIEC009a"</code>                                                                                                                                                                                                                                                                                       | Attribute of the report tag which specifies the series name.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <code>&lt;!-- Cover Page items --&gt;</code><br><br><code>&lt;!-- Schedule items --&gt;</code>                                                                                                                                                                                                                        | Optional reference item that the reporter can include in their file as reference points, for example to identify breaks between the Cover page and the Schedules. These do not need to be included in the XML file to submit. These can be named whatever the user wants to name them; those                                                                                                                                                                                                                                                                                                       |

| XML Tag/Attribute          | Meaning/How Used                                                                                                                                                                                                                                                                         |
|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                            | provided are just examples but they do have to be in the <!-- --> format.                                                                                                                                                                                                                |
| <itemData> ... </itemData> | A tag used to encapsulate the item and its value from the report. Note all tags must have an opening and ending version.                                                                                                                                                                 |
| confidential="N"           | This series does allow for confidentiality requests; however, the confidential tag for all rows should equal "N". Do not set this to "Y" as this provides no meaningful information to the report submission. Confidentiality requests should be sent to the local Reserve Bank contact. |
| estimated="N"              | This series does not allow for estimation for external filers. The estimated tag for all rows should equal "N". Do not set this to "Y" as this provides no meaningful information to the report submission. If you need to estimate your data please contact your Reserve Bank contact.  |
| mdrm="MDRM9999"            | Attribute of the itemData tag which identifies a specific item in the report. Attribute of the context tag which identifies a group of items in the report. Each item will have its own MDRM which are included in the Report Detailed Field Specifications below.                       |
| <value> ... </value>       | A tag encapsulated within itemData tag and contains the value of the item. Note all tags must have an opening and ending version.                                                                                                                                                        |
| value="1"                  | Attribute of the context tag which identifies the order of in the item group.                                                                                                                                                                                                            |
| <context> ... </context>   | An options tag encapsulated within itemData tag used to identify the order of a variable list of items. Note all tags must have an opening and ending version.                                                                                                                           |

## Preparing the File Upload for the FFIEC 009a

The FFIEC 009a is comprised of both financial and text fields. To minimize issues arising during the creation of the Reporting Central XML file, it is strongly recommended that institutions follow the process described below.

### **Field Values**

Financial data fields can have either positive, zero, or null values. Please only include reportable data in the submission file, do not zero fill in the report if the item has a null value.

### **Field Character Limits**

Standard financial data are reported in millions and allow entry of up to 14 digits, with no decimal places. For nonstandard financial limits and text item character limits, see the below table.

### **Cover Page**

| Item Name                                                                                                  | MDRM     | Field Length | Field Type |
|------------------------------------------------------------------------------------------------------------|----------|--------------|------------|
| Reporting Institution                                                                                      | CEXA9017 | 72           | Text       |
| City                                                                                                       | CEXA9130 | 30           | Text       |
| State                                                                                                      | CEXA9200 | 2            | Text       |
| Legal Entity Identifier (LEI) of the Reporting Institution (Report only if already have an LEI)            | CEXA9224 | 20           | Text       |
| Name                                                                                                       | CEXA8901 | 72           | Text       |
| Title                                                                                                      | CEXAC496 | 72           | Text       |
| Area Code/Phone Number                                                                                     | CEXA8902 | 30           | Text       |
| Statement by management of the reporting institution concerning the information reported above (optional). | CEXA5224 | 864          | Text       |

### **Field Labels**

The identifying label for both financial and text data is the eight-character MDRM descriptor listed in the tables below. Reporting Central uses the MDRM to identify individual report items in the file upload. FFIEC 009a reports use two MDRMs, one for the Column Identifiers (MDRM1) and the other MDRM (MDRM2) for the Country Codes (see Appendix A for a full listing).

| Columns | MDRM     | Column Descriptions                                                                                                                                                            |
|---------|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (1)     | CEXAPG78 | Immediate-Counterparty Basis: Amount of Cross-Border Claims Outstanding (Excluding Derivative Products)                                                                        |
| (2)     | CEXAPG79 | Immediate-Counterparty Basis: Amount of Foreign Office Claims on Local Res in Local & Non-Local Curr (Excluding Der Prods)                                                     |
| (3)     | CEXA5215 | Guarantor Basis: Amount of Cross-Border Claims Outstanding After Mandated Adjs for Transfer of Exp (Excluding Der Prods)                                                       |
| (4)     | CEXAM881 | Guarantor Basis: Amount of Foreign Office Claims on Local Residents(Excluding Derivative Products)                                                                             |
| (5)     | CEXAM882 | Guarantor Basis: Amount of Gross Claims Outstanding from Derivatives Products after Mandated Adjs for Transfer of Exposure                                                     |
| (6)     | CEXA4254 | Guarantor Basis: Total of Columns (3) Plus (4) Plus (5)                                                                                                                        |
| (7)     | CEXAM883 | Gross Foreign-Office Liabilities                                                                                                                                               |
| (8)     | CEXAPG80 | Distribution of Amounts in Column 1 and 2 (Immediate Counterparty Basis): By Type of Borrower: Banks                                                                           |
| (9)     | CEXAPG81 | Distribution of Amounts in Column 1 and 2 (Immediate Counterparty Basis): By Type of Borrower: Public                                                                          |
| (10)    | CEXAPG82 | Distribution of Amounts in Column 1 and 2 (Immediate Counterparty Basis): By Type of Borrower: NBFIs                                                                           |
| (11)    | CEXAPG83 | Distribution of Amounts in Column 1 and 2 (Immediate Counterparty Basis): By Type of Borrower: Other                                                                           |
| (12)    | CEXAM884 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Type of Borrower: Banks                                                                 |
| (13)    | CEXAM885 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Type of Borrower: Public                                                                |
| (14)    | CEXAM886 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Type of Borrower: NBFIs                                                                 |
| (15)    | CEXAM887 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Type of Borrower: Other                                                                 |
| (16)    | CEXAM888 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Maturity: One Year and Under                                                            |
| (17)    | CEXAM889 | Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products): By Maturity: Over One Year                                                                 |
| (18)    | CEXAM956 | Distribution of Amounts in Columns 3 and 4 (Guarantor Basis, Except Column 19): Trading Assets: Trading Assets                                                                 |
| (19)    | CEXAM874 | Distribution of Amounts in Columns 3 and 4 (Guarantor Basis, Except Column 19): Trading Assets: Offsetting Positions for Trading                                               |
| (20)    | CEXAM869 | Distribution of Amounts in Columns 3 and 4 (Guarantor Basis, Except Column 19): Securities (HTM an AFS)                                                                        |
| (21)    | CEXAM870 | Collateral Held Against Claims With No Risk Transfer (Reported in Columns 3 and 4 - By Counterparty): Total Collateral                                                         |
| (22)    | CEXAM871 | Collateral Held Against Claims With No Risk Transfer (Reported in Columns 3 and 4 - By Counterparty): Of Which, Cash                                                           |
| (23)    | CEXAM872 | Collateral Held Against Claims With No Risk Transfer (Reported in Columns 3 and 4 - By Counterparty): Of Which, Same Country                                                   |
| (24)    | CEXAM873 | Collateral Held Against Claims With No Risk Transfer (Reported in Cols 3 &4 - By Counterparty): Of Which, Resale & Reverse Repurchase Agreements & Secs Lending (Counterparty) |
| (25)    | CEXAC936 | Cross-Border and Foreign-Office Commitments and Guarantees: Unused Commitments                                                                                                 |
| (26)    | CEXAM875 | Cross-Border and Foreign-Office Commitments and Guarantees: Guarantees (Excluding Credit Derivatives Sold)                                                                     |
| (27)    | CEXAM876 | Credit Derivatives (By Reference Entity): Gross-GrossTotal Credit Derivatives Purchased                                                                                        |
| (28)    | CEXAM877 | Credit Derivatives (By Reference Entity): Gross-GrossTotal Credit Derivatives Sold                                                                                             |
| (29)    | CEXAM878 | Credit Derivatives (By Reference Entity): Gross-NetTotal Credit Derivatives Purchased                                                                                          |
| (30)    | CEXAM879 | Credit Derivatives (By Reference Entity): Gross-NetTotal Credit Derivatives Sold                                                                                               |

## **Appendix A – Country Listings**

In the order on the report form

| <b>Country</b>        | <b>Code</b> | <b>Country</b> | <b>Code</b> | <b>Country</b>   | <b>Code</b> | <b>Country</b>                | <b>Code</b> | <b>Country</b>          | <b>Code</b> |
|-----------------------|-------------|----------------|-------------|------------------|-------------|-------------------------------|-------------|-------------------------|-------------|
| Albania               | 15105       | Holy See       | 13102       | San Marino       | 12408       | Guyana                        | 31305       | Haiti                   | 31402       |
| Andorra               | 10103       | Hungary        | 15504       | Serbia           | 13218       | Honduras                      | 31488       | Jamaica                 | 31607       |
| Armenia               | 16519       | Iceland        | 11304       | Slovakia         | 15318       | Mexico                        | 31704       | Martinique              | 37001       |
| Austria               | 10189       | Ireland        | 11401       | Slovenia         | 14338       | Nicaragua                     | 31801       | Montserrat              | 37109       |
| Azerbaijan            | 16527       | Isle of Man    | 13008       | Spain            | 12505       | Panama                        | 31887       | St. Martin & St. Barts  | 36471       |
| Bank Intl Settlements | 13307       | Italy          | 11509       | Sweden           | 12602       | Paraguay                      | 32107       | St. Kitts and Nevis     | 37303       |
| Belarus               | 16209       | Jersey         | 13007       | Switzerland      | 12688       | Peru                          | 32204       | St. Lucia               | 37508       |
| Belgium               | 10251       | Kazakhstan     | 16543       | Tajikistan       | 16578       | Suriname                      | 37702       | St. Maarten             | 36196       |
| Bosnia & Herzegovina  | 14109       | Kosovo         | 13471       | Turkey           | 12807       | Uruguay                       | 32603       | St. Vin & Grenadines    | 37605       |
| Bulgaria              | 15202       | Kyrgyzstan     | 16551       | Turkmenistan     | 16616       | Venezuela                     | 32719       | Trinidad and Tobago     | 32409       |
| Croatia               | 14214       | Latvia         | 15601       | Ukraine          | 16403       | Anguilla                      | 30228       | Turks and Caicos        | 37818       |
| Cyprus                | 10405       | Liechtenstein  | 11606       | United Kingdom   | 13005       | Antigua and Barbuda           | 35203       | Virgin Islands, British | 35807       |
| Czech Republic        | 15288       | Lithuania      | 15709       | Uzbekistan       | 16705       | Aruba                         | 35254       | Afghanistan             | 40401       |
| Denmark               | 10502       | Luxembourg     | 11703       | Argentina        | 30104       | Bahamas                       | 35319       | Bahrain                 | 40703       |
| Estonia               | 15407       | Macedonia      | 14419       | Belize           | 35718       | Barbados                      | 30155       | Bangladesh              | 40746       |
| European Central Bk   | 13501       | Malta          | 11819       | Bolivia          | 30201       | Bermuda                       | 35602       | Bhutan                  | 40819       |
| Faroe Islands         | 10618       | Moldova        | 16306       | Brazil           | 30309       | Bonaire, St. Eustatius & Saba | 36161       | Brunei                  | 41009       |
| Finland               | 10707       | Monaco         | 12009       | Chile            | 30406       | Cayman Islands                | 36137       | Burma                   | 41106       |
| France                | 10804       | Montenegro     | 13625       | Colombia         | 30503       | Cuba                          | 30708       | Cambodia                | 41203       |
| Georgia               | 16535       | Netherlands    | 12106       | Costa Rica       | 30589       | Curacao                       | 36188       | China, Mainland         | 41408       |
| Germany               | 11002       | Norway         | 12203       | Ecuador          | 31003       | Dominica                      | 36218       | East Timor              | 45004       |
| Gibraltar             | 11088       | Poland         | 15768       | El Salvador      | 31089       | Dominican Republic            | 30805       | Hong Kong               | 42005       |
| Greece                | 11207       | Portugal       | 12319       | Falkland Islands | 36307       | Eastern Carib Central Bk      | 35416       | India                   | 42102       |
| Greenland             | 10545       | Romania        | 15806       | French Guiana    | 36404       | Grenada                       | 36706       | Indonesia               | 42218       |
| Guernsey              | 13006       | Russia         | 16101       | Guatemala        | 31208       | Guadeloupe                    | 36803       | Iran                    | 42307       |

| Country      | Code  | Country                            | Code  | Country       | Code  | Country                | Code  | Country               | Code  |
|--------------|-------|------------------------------------|-------|---------------|-------|------------------------|-------|-----------------------|-------|
| Iraq         | 42404 | United Arab Emirates               | 46604 | Eswatini      | 56219 | Saint Helena           | 55107 | Nauru                 | 61301 |
| Israel       | 42501 | Vietnam                            | 46906 | Ethiopia      | 52108 | Sao Tome & Principe    | 55204 | New Caledonia         | 61409 |
| Japan        | 42609 | West Bank & Gaza                   | 47201 | Gabon         | 52418 | Senegal                | 55301 | New Zealand           | 61689 |
| Jordan       | 42706 | Yemen                              | 47104 | Gambia, The   | 52507 | Seychelles             | 55409 | Niue                  | 61808 |
| Korea, North | 44407 | Algeria                            | 50105 | Ghana         | 52604 | Sierra Leone           | 55506 | Palau                 | 62502 |
| Korea, South | 43001 | Angola                             | 50202 | Guinea        | 52701 | Somalia                | 55603 | Papua New Guinea      | 61751 |
| Kuwait       | 43109 | Bk of Central African Sts (BEAC)   | 50318 | Guinea-Bissau | 54402 | South Africa           | 55719 | Pitcairn Islands      | 62103 |
| Laos         | 43303 | Benin                              | 51802 | Kenya         | 53104 | South Sudan            | 53392 | St. Pierre & Miquelon | 62219 |
| Lebanon      | 43419 | Botswana                           | 50504 | Lesotho       | 53155 | Sudan                  | 56103 | Samoa                 | 62618 |
| Macau        | 43508 | Burkina Faso                       | 57118 | Liberia       | 53201 | Tanzania               | 56405 | Solomon Islands       | 62308 |
| Malaysia     | 43605 | Burundi                            | 50806 | Libya         | 53309 | Togo                   | 56502 | Tokelau Islands       | 62405 |
| Maldives     | 43702 | Cameroon                           | 51004 | Madagascar    | 53406 | Tunisia                | 56707 | Tonga                 | 62448 |
| Mongolia     | 43818 | Cape Verde                         | 51209 | Malawi        | 53503 | Uganda                 | 56804 | Tuvalu                | 60518 |
| Nepal        | 44202 | Central African Republic           | 51306 | Mali          | 53589 | Western Sahara         | 56006 | Vanuatu               | 61603 |
| Oman         | 44105 | Central Bk of W Africa Sts (BCEAO) | 50644 | Mauritania    | 53708 | Zambia                 | 57207 | Wallis and Futuna     | 60119 |
| Pakistan     | 44709 | Chad                               | 51403 | Mauritius     | 53805 | Zimbabwe               | 54704 | Canada                | 29998 |
| Philippines  | 44806 | Comoros                            | 51519 | Mayotte       | 54518 | Australia              | 60089 | International         | 72907 |
| Qatar        | 45101 | Congo (Brazzaville)                | 51608 | Morocco       | 54003 | British Indian Ocean   | 60208 | European              | 73903 |
| Saudi Arabia | 45608 | Congo (Kinshasa)                   | 51705 | Mozambique    | 54089 | Cook Islands           | 60402 | Latin America Reg     | 74918 |
| Singapore    | 46019 | Cote d'Ivoire (Ivory Coast)        | 53007 | Namibia       | 54127 | Fiji                   | 60607 | Caribbean             | 74942 |
| Sri Lanka    | 41319 | Djibouti                           | 52302 | Niger         | 54208 | French Polynesia       | 60704 | Asian Regional        | 75906 |
| Syria        | 46205 | Egypt                              | 57002 | Nigeria       | 54305 | Kiribati               | 60526 | African Regional      | 76902 |
| Taiwan       | 46302 | Equatorial Guinea                  | 51942 | Reunion       | 54607 | Marshall Islands       | 61204 | Middle Eastern Reg    | 77909 |
| Thailand     | 46418 | Eritrea                            | 52019 | Rwanda        | 55018 | Micronesia, Fed States | 60305 |                       |       |