

File Upload User Guide

FR Y-9SP

**Parent Company Only Financial Statements
for Small Holding Companies**

Federal Reserve System

STATISTICS FUNCTION
April 2026

Overview

The Federal Reserve System's Reporting Central Application provides the ability to submit spreadsheet files (*e.g.*, Microsoft Excel) via the internet. As a result, reporting institutions that use a spreadsheet to prepare their data will not have to key their data into a web page form. While this feature was provided for reporting institutions using spreadsheets, the file can be created by any other backend system that a reporting institution uses to generate its data.

This document provides the required file format for submitting the data via Reporting Central and shows how a reporting institution can create the file from a spreadsheet for the **FR Y-9SP – Parent Company Only Financial Statements for Small Holding Companies**. Formulas were used to pull the data from the spreadsheet worksheets containing the data and format them for transmission to Reporting Central.

Microsoft Excel was used in this example but the same process can be applied to other spreadsheet software. The method used to create the file is at the discretion of the reporter. This guide assumes that the data already exist in spreadsheet form.

How to create a text file from a spreadsheet for the FR Y-9SP

The FR Y-9SP is comprised of a Cover Page and four schedules with several financial and text fields. To minimize issues arising during the creation of the Reporting Central text file, it is strongly recommended reporting institutions follow the process described below.

Field Labels

The identifying label for both financial and text data is the eight-character MDRM descriptor listed in Appendix A. Reporting Central uses the MDRM to identify individual report items in the file upload.

Appendix A			
FR Y9-SP Report Detailed Field Specifications			
	Item	Dollar Amounts in Thousands	Dollar Amounts in Thousands
Financial Data Items		8-character MDRM	8-character MDRM
Schedule SI - Income Statement			
1.a.	Income from bank subsidiary(ies): Dividends		BHSP0508
1.b.	Income from bank subsidiary(ies): Other income		BHSP2111
2.a.	Income from nonbank subsidiary(ies): Dividends		BSHP0523
2.b.	Income from nonbank subsidiary(ies): Other income		BHSP0530
3.a.	Income from subsidiary holding company(ies): Dividends		BHSP0206
3.b.	Income from subsidiary holding company(ies): Other income		BHSP1283
4.	Other income		BHSP0447
5.	TOTAL OPERATING INCOME (sum of items 1, 2, 3, and 4)		BHSP4000

Field Values

Data fields can have either positive, negative, zero, or null values. When you prepare your spreadsheet, this table explains how you enter positive, negative, zero, and null values in the item value cell of the spreadsheet (for our example in Step 1, the item value cells are in Column L).

Financial Data Item Value	Format
Positive	[leave value as is]
Negative	Precede by minus (-) sign
Zero	0
Null (or blank)	[leave blank]

Field Character Limits

Standard financial data are reported in Thousands and allow entry of up to 14 digits, with no decimal place. while nonstandard financial limits and text items will have different character limits, see Appendix A for reference.

Step 1 – Create Data Listing

Since it is presumed that the reporter already has the report data in spreadsheet format, all subsequent steps in this guide are based on using this report data as the source. The first step recommended is to create a sequential listing of all the items by report schedule. Each line will contain the item number, the eight-character MDRM, and item value.

NOTE Adding additional information to this spreadsheet (like, item description) can make items easier to identify and help troubleshoot potential problems. However, this information is completely optional.

	A	B	C	D	E	F	G
1							
2	FR Y9-SP - Parent Company Only Financial Statements for Small Holding Companies						
3							
4		Respondent ID		9999999999			
5		Series Name		FR Y9-SP			
6		As of Date		12/31/2015			
7							
8		Financial Data Items					
9		Report Form	RC Item			Dollar Amount	
10		Line Name	Identifier		Item Description	in Thousands	
11		Schedule SI - Income Statement					
12		1.			Income from bank subsidiary(ies):		
13		1.a.	BHSP0508		Dividends	300	
14		1.b.	BHSP2111		Other income	0	
15	✓	2.			Income from nonbank subsidiary(ies):		
16		2.a.	BHSP0523		Dividends	0	
17		2.b.	BHSP0530		Other income	0	
18	✓	3.			Income from nonbank subsidiary(ies):		
19		3.a.	BHSP0206		Dividends	0	
20		3.b.	BHSP1283		Other income	0	
21	✓	4.	BHSP0447		Other income	0	
22	✓	5.	BHSP4000		TOTAL OPERATING INCOME (sum of items 1, 2, 3, and 4)	300	
23	✓	6.	BHSP4073		Interest expense	0	
24	✓	7.	BHSP4093		Other expenses	158	
25	✓	8.	BHSP4130		TOTAL OPERATING EXPENSE (sum of items 6 and 7)	158	

58		Text Items				
59		Cover Page	WRSX8901	Name / Title	John Jones, AVP	
60		Cover Page	WRSX4086	E-mail Address of Contact	john.jones@9sphc.com	
61		Cover Page	WRSX8902	Area Code / Phone Number	222-999-9999	

Complete the spreadsheet by listing all of the items in Appendix A.

Step 2 – Link and Format Data Cells

In Sheet 2 of the workbook, all of the financial, text, and other items will be linked to Sheet 1 and formatting characters inserted to aid in the creation of the final data file.

NOTE We differentiate between financial items, numeric and text items in Appendix A. It is sometimes clear by the MDRM that an item is text, but that may not be the case in every instance. We identified all numeric and text items clearly in the Report Form Line Number column with “Number” or “TEXT” clearly displayed alongside the item number. You should use this column to identify numeric and text items.

If the response to Memorandum item 1 is yes, indicate below the name and address of the holding company's <u>independent external</u> auditing firm (see instructions), and the name and e-mail address of the auditing firm's engagement partner.			
M.2.a.(1).(TEXT)	Name of External Auditing Firm		TEXTC703
M.2.a.(2).(TEXT)	City		TEXTC708
M.2.a.(3).(TEXT)	State Abbreviation		TEXTC714
M.2.a.(4).(TEXT)	Zip Code		TEXTC715
M.2.b.(1).(TEXT)	Name of Engagement Partner		TEXTC704
M.2.b.(2).(TEXT)	E-mail Address		TEXTC705

Data Format for Financial Data Items

- “L” is the separator between data items.
- Eight-character MDRM.
- Financial data displays after each “+” and includes a “-” (minus sign) if the value is negative.
Financial Data Excel formula: = "L"&Sheet1!C12&"+"&Sheet1!F12
Translates to: LBHSP0508+300000

Data Format for Text Items

- “L” is the separator between data items.
- Eight-character MDRM.
- Text data entry is preceded by two underscores and followed by two underscores.
Text Data Excel formula: = "L"&Sheet1!C59&" "&Sheet1!F59&" "
Translates to: LBHSX8901 John Jones, AVP__

NOTE For null values, which you leave blank in the spreadsheet, the resulting item values will also be blank. For example, the items with null values will look like this:

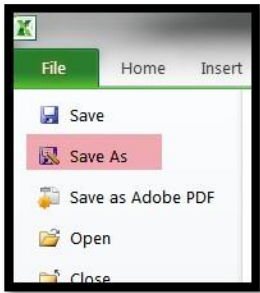
- For data items: LBHSP8527+ (a blank follows the plus sign for null)
- For text items: LBHSP8902_____ (four underscores)

This is repeated for all fields and entered into the **first cell** of sheet 2 with ‘&’ between each item. For example:
="L"&Sheet1!C13&"+"&Sheet1!F13&"L"&Sheet1!C14&"+"&Sheet1!F14&"L"&Sheet1!C16&"+"&Sheet1!F16

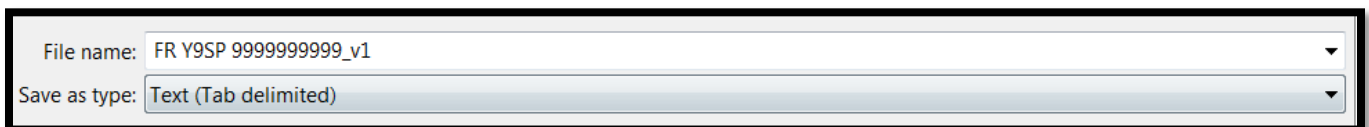
Once all fields are linked, you can create the text file.

Step 3 – Create the Text File

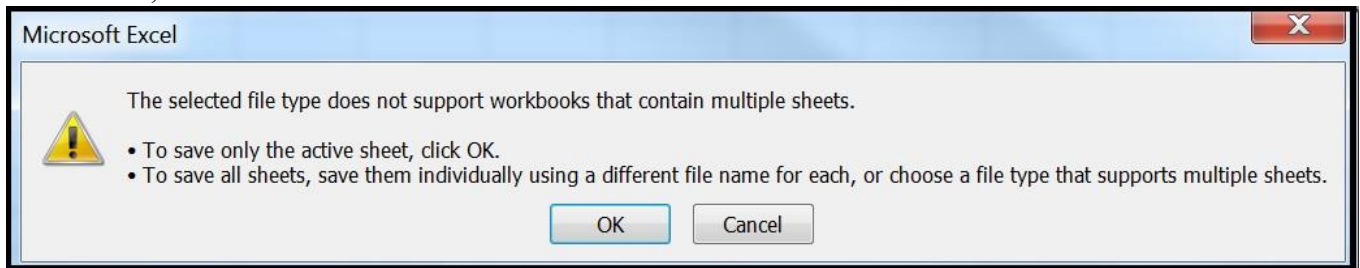
After saving the file in spreadsheet format the text file can be created by first navigating to the **File** tab.



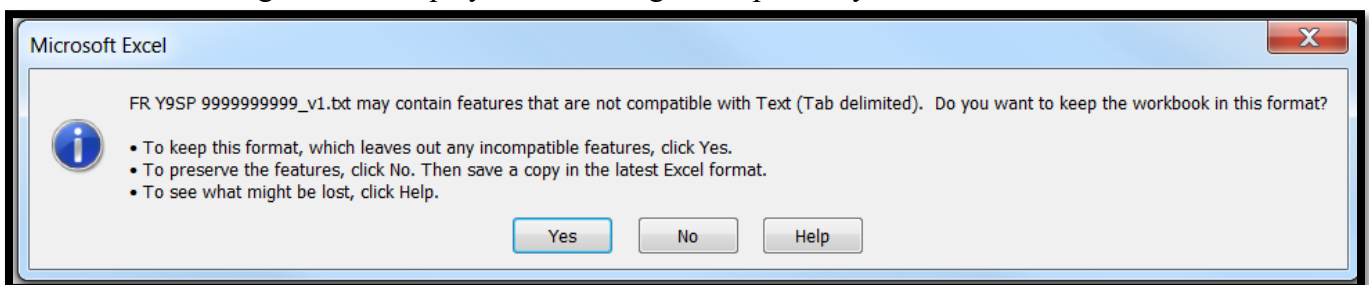
Then selecting the ‘**Save As**’ option and indicating the file type as ‘**Text (Tab delimited) (*.txt)**.’



A message will appear indicating that this file selection does not support workbooks with multiple worksheets, select ‘**OK**.’

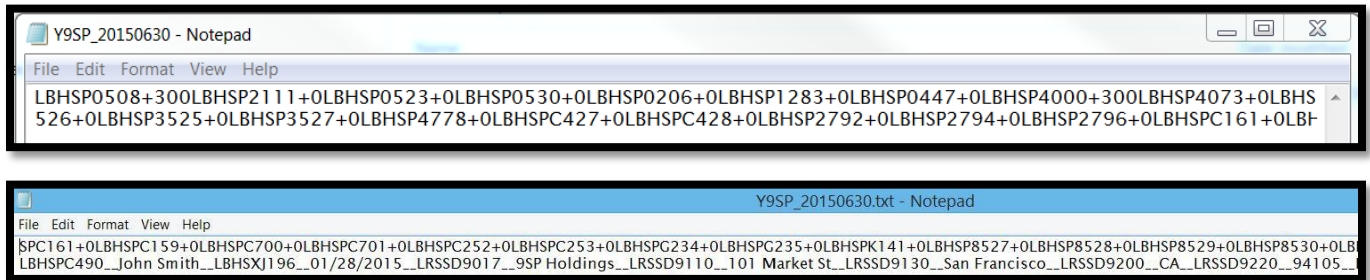


An additional message will be displayed concerning incompatibility features, select ‘**Yes**.’



Step 4 – Format the Text File and Add Header Record

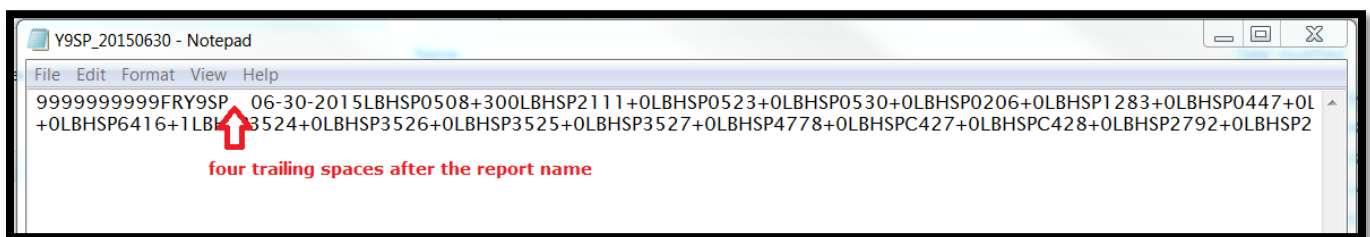
The text file will have been created and will look like this. If there are quotation marks at the beginning and the end of the file, you will need to remove those before continuing.



The next step is to add the header record.

The file header record consists of the following items:

- the first 10 spaces are the RSSD ID with leading zeroes
- the next 10 spaces are the report series ID 'FRY9SP,' plus four trailing spaces
- the report as-of date, in MM-DD-YYYY format



Step 5 – Reporting Central Submission

In Reporting Central, select the File Upload tab and then click the ‘Browse...’ button. Then, navigate to the stored text file and select the filename so it appears in the ‘File:’ box. Select “Continue” to upload.

Parent Company Only Financial Statements for Small Holding Companies—FR Y-9SP

Report at the close of business as of the last calendar day of June and December

This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. § 1844) and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and Section 10 of the Home Owners' Loan Act (12 U.S.C. § 1467a(b)).

This report form is to be filed by the parent company of small holding companies. For purposes of this report, small holding companies are holding companies that have total consolidated

assets of less than \$3 billion, except holding companies that file the Consolidated Financial Statement for Holding Companies. Such holding companies are tiered holding companies and must be filed by each of the subsidiary holding companies, the parent company, and an organization (or a person) that is a collection of information unless it displays a current

NOTE: The Parent Company Only Financial Statements for Small Holding Companies must be signed and attested by the Chief Financial Officer (CFO) of the reporting holding company (or by the individual performing this equivalent function).

I, the undersigned CFO (or equivalent) of the named holding company, attest that the Parent Company Only Financial Statements for Small Holding Companies for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Upload File

Validate

Save

Clear

Submit

Close

File Upload

Upload your files here

Documents library

Data File Upload

Name	Date modified	Type
Y9SP_20150630	11/18/2015 10:54 AM	Text Document

If there are no formatting issues during the file upload you will get a message that the file upload is completed and the data will load directly into the report screen.

File Upload

File Upload Complete

FR Y-9SP - 20260630.txt

The report data can then be validated and saved. If errors exist in the text file error messages will appear in the file upload window, which can be used to troubleshoot any formatting issues.

Appendix A

FR Y-9SP Report Detailed Field Specifications

Item Description	Schedule	MDRM	Item Limit	Item Type
Printed Name of Chief Financial Officer (or Equivalent)	Cover Page	BHSPC490	TEXT	72
Date of Signature (MM/DD/YYYY)	Cover Page	BHSXJ196	TEXT	10
Legal Title of Holding Company	Cover Page	RSSD9017	TEXT	72
(Mailing Address of the Holding Company) Street / PO Box	Cover Page	RSSD9110	TEXT	72
City	Cover Page	RSSD9130	TEXT	30
State	Cover Page	RSSD9200	TEXT	2
Zip Code	Cover Page	RSSD9220	TEXT	30
Name / Title	Cover Page	BHSX8901	TEXT	72
Area Code / Phone Number	Cover Page	BHSX8902	TEXT	30
Area Code / FAX Number	Cover Page	BHSX9116	TEXT	30
E-mail Address of Contact	Cover Page	BHSX4086	TEXT	72
Is confidential treatment requested for any portion of this report submission (0=No, 1=Yes)	Cover Page	BHSPC447	Number	1
If a letter justifying this request is being provided along with the report, enter "1". If a letter justifying this request has been provided separately, enter "0".	Cover Page	BHSPKY38	Number	1
Chief Executive Officer: Name	Cover Page	BHSPFT42	TEXT	72
Chief Executive Officer: Area Code/Phone Number/EXT	Cover Page	BHSPFT43	TEXT	30
Chief Executive Officer: Email	Cover Page	BHSPFT44	TEXT	72

Item	Item Description	Schedule	MDRM	Item Length	Item Type
1	Income from bank subsidiary(ies)	SI - Inc State		14	Numeric
1.a	Dividends	SI - Inc State	BHSP0508	14	Numeric
1.b	Other income	SI - Inc State	BHSP2111	14	Numeric
2	Income from non-bank subsidiary(ies)	SI - Inc State			
2.a	Dividends	SI - Inc State	BHSP0523	14	Numeric
2.b	Other income	SI - Inc State	BHSP0530	14	Numeric
3	Income from subsidiary holding company(ies)	SI - Inc State			
3.a	Dividends	SI - Inc State	BHSP0206	14	Numeric
3.b	Other income	SI - Inc State	BHSP1283	14	Numeric
4	Other income	SI - Inc State	BHSP0447	14	Numeric
5	TOTAL OPERATING INC (sum of items 1, 2, 3, & 4)	SI - Inc State	BHSP4000	14	Numeric
6	Interest expense	SI - Inc State	BHSP4073	14	Numeric
7	Other expenses	SI - Inc State	BHSP4093	14	Numeric
8	TOTAL OPERATING EXP (sum of items 6 and 7)	SI - Inc State	BHSP4130	14	Numeric
9.a	Income (loss) before unrealized holding gains (losses) on equity securities not held for trading, applicable income taxes, & discontinued ops (item.5 minus 8)	SI - Inc State	BHSPHT69	14	Numeric
9.b	Change in net unrealized holding gains (losses) on equity securities not held for trading.	SI - Inc State	BHSPHT70	14	Numeric
9.c	Income (loss) before applicable income taxes, discontinued operations and undistributed income (sum of items 9.a and 9.b)	SI - Inc State	BHSP4250	14	Numeric
10	Applicable income taxes (benefits) (estimated)	SI - Inc State	BHSP4302	14	Numeric
11	Discontinued ops, net of applicable income taxes	SI - Inc State	BHSPFT28	14	Numeric
12	Income (loss) before undistributed income of subsidiary(ies) (sum of items 9(c) and 11 minus 10)	SI - Inc State	BHSP0496	14	Numeric
13	Equity in undistributed income (loss) of subsidiary(ies):	SI - Inc State			
13.a	Bank subsidiary(ies)	SI - Inc State	BHSP3156	14	Numeric
13.b	Nonbank subsidiary(ies)	SI - Inc State	BHSP2112	14	Numeric
13.c	Subsidiary holding company(ies) 1	SI - Inc State	BHSP3513	14	Numeric
14	Net income (loss) (sum of items 12 and 13)	SI - Inc State	BHSP4340	14	Numeric
M.1	Cash dividends (or non-taxable distributions) declared by the holding company to its shareholders	SI - Inc State	BHSP3158	14	Numeric
M.2	Does the reporting holding company have a Subchapter S election in effect for federal income tax purposes for current tax year? (Enter "1" for Yes; enter "0" for No.)	SI - Inc State	BHSPA530	1	Numeric
M.3	Interest expense paid to special-purpose subsidiaries that issued trust preferred sec (included item 7 above)	SI - Inc State	BHSPC254	14	Numeric
M.4	Net change in fair values of financial instruments accounted for under a fair value option	SI - Inc State	BHSPJ980	14	Numeric
M.5	Does your holding company have 100 or more full-time equivalent employees on a consolidated basis? (enter "1" for Yes; leave blank for No)	SI - Inc State	BHSPMZ36	1	Numeric

Item	Item Description	Schedule	MDRM	Item Length	Item Type
1	Cash and due from depository institutions:				
1.a	Balances with subsidiary or affiliated depository	SC - Bal Sheet	BHSP5993	14	Numeric
1.b	Balances with unrelated depository institutions	SC - Bal Sheet	BHSP0010	14	Numeric
2	Securities	SC - Bal Sheet	BHSP0390	14	Numeric
3	Loans and lease financing receivables (exclusive of loans and lease financing receivables due from bank(s) and nonbank subsidiaries):	SC - Bal Sheet			
3.a	Loans and leases, held for investment and held for	SC - Bal Sheet	BHSP2122	14	Numeric
3.b	LESS: Allowance for credit losses on loans and	SC - Bal Sheet	BHSP3123	14	Numeric
3.c	Loans and leases held for investment and held for sale, net of the allowance for loan and lease losses (item 3.a minus 3.b)	SC - Bal Sheet	BHSP2723	14	Numeric
4	Investment in bank subsidiary(ies):	SC - Bal Sheet			
4.a	Equity investment	SC - Bal Sheet	BHSP3239	14	Numeric
4.b	Goodwill	SC - Bal Sheet	BHSP3238	14	Numeric
4.c	Loans and advances to and receivables due from bank subsidiary(ies)	SC - Bal Sheet	BHSP3148	14	Numeric
5	Investment in nonbank subsidiary(ies):	SC - Bal Sheet			
5.a	Equity investment	SC - Bal Sheet	BHSP0088	14	Numeric
5.b	Goodwill	SC - Bal Sheet	BHSP0087	14	Numeric
5.c	Loans and advances to and receivables due from nonbank subsidiary(ies)	SC - Bal Sheet	BHSP0089	14	Numeric
6	Investment in subsidiary holding company(ies) (These items are to be completed only by companies that have subsidiary holding	SC - Bal Sheet			
6.a	Equity investment	SC - Bal Sheet	BHSP0201	14	Numeric
6.b	Goodwill	SC - Bal Sheet	BHSP0202	14	Numeric
6.c	Loans & advances to & receivables due from sub holding company(ies)	SC - Bal Sheet	BHSP3523	14	Numeric
7	Other assets	SC - Bal Sheet	BHSP0027	14	Numeric
8	Balances due from related nonbank companies (other than investments)	SC - Bal Sheet	BHSP3620	14	Numeric
9	TOTAL ASSETS (sum of items 1 through 8)	SC - Bal Sheet	BHSP2170	14	Numeric
10	Short-term borrowings:	SC - Bal Sheet			
10.a	Commercial paper	SC - Bal Sheet	BHSP2309	14	Numeric
10.b	Other short-term borrowings	SC - Bal Sheet	BHSP2724	14	Numeric
11	Long-term borrowings (includes limited-life preferred stock and related surplus)	SC - Bal Sheet	BHSP3151	14	Numeric
12	Accrued interest payable (see instructions)	SC - Bal Sheet	BHSP3166	14	Numeric
13	Other liabilities	SC - Bal Sheet	BHSP3167	14	Numeric
14	Balances due to subsidiaries and related institutions	SC - Bal Sheet			
14.a	Subsidiary bank(s)	SC - Bal Sheet	BHSP3605	14	Numeric
14.b	Nonbank subsidiaries and related institutions	SC - Bal Sheet	BHSP3621	14	Numeric
15	Not applicable	SC - Bal Sheet			
16	Equity Capital	SC - Bal Sheet			
16.a	Perpetual preferred stock (including related	SC - Bal Sheet	BHSP3283	14	Numeric
16.b	Common stock (including related surplus)	SC - Bal Sheet	BHSP3230	14	Numeric
16.c	Retained earnings	SC - Bal Sheet	BHSP3247	14	Numeric
16.d	Accumulated other comprehensive income	SC - Bal Sheet	BHSPB530	14	Numeric
16.e	Other equity capital components	SC - Bal Sheet	BHSPA130	14	Numeric
16.f	Total equity capital (sum of items 16.a through	SC - Bal Sheet	BHSP3210	14	Numeric
17	TOTAL LIABILITIES & EQUITY CAPITAL (sum of items 10 through 14.b & 16.f)	SC - Bal Sheet	BHSP3300	14	Numeric

Item	Item Description	Schedule	MDRM	Item Length	Item Type
M.1	Has the holding company engaged in a full-scope independent external audit at any time during the calendar year (Enter "1" for Yes; enter "0" for No.)	SC - Bal Sheet	BHSPC884	14	Numeric
M.2	If response to Memorandum item 1 is yes, indicate below the name and address of the holding company's independent external auditing firm and the name & email address of the auditing firm's engage partner.	SC - Bal Sheet			
M.2a1	Name of External Auditing Firm (TEXT C703)	SC - Bal Sheet	TEXTC703	72	Numeric
M.2a2	City (TEXT C708)	SC - Bal Sheet	TEXTC708	72	Numeric
M.2a3	State Abbreviation (TEXT C714)	SC - Bal Sheet	TEXTC714	2	Numeric
M.2a4	Zip Code (TEXT C715)	SC - Bal Sheet	TEXTC715	30	Numeric
M.2b1	Name of Engagement Partner (TEXT C704)	SC - Bal Sheet	TEXTC704	72	Numeric
M.2b2	E-mail Address (TEXT C705)	SC - Bal Sheet	TEXTC705	72	Numeric
M.3	Financial assets and liabilities measured at fair value	SC - Bal Sheet			
M.3.a	Total assets	SC - Bal Sheet	BHSPF819	14	Numeric
M.3.b	Total liabilities	SC - Bal Sheet	BHSPF820	14	Numeric
M.1	Total consolidated assets of the holding company	SC- M - Memo	BHSP8519	14	Numeric
M.2	Holding company (parent company only) borrowings not held by financial institution(s) or by insiders (including directors) and their interests (included in balance sheet items 10 or 11 above)	SC- M - Memo	BHSP3152	14	Numeric
M.3	Treasury stock (report only if the amount exceeds 5 percent of equity capital) included in item 16.f above	SC- M - Memo	BHSP3153	14	Numeric
M.4	Amount of nonvoting equity capital, including related surplus (included in balance sheet items 16.a., 16b., 16.c., and 16.d.)	SC- M - Memo	BHSPC702	14	Numeric
M.5	Total loans from parent holding company and nonbank subsidiary(ies) to insiders (excluding directors) and their interests	SC- M - Memo	BHSP3155	14	Numeric
M.6	Pledged securities	SC- M - Memo	BHSP0416	14	Numeric
M.7.a	Fair value of securities classified as available-for-sale (included in item 2 of the balance sheet)	SC- M - Memo	BHSP8516	14	Numeric
M.7.b	Amortized cost of securities classified as held-to-maturity (included in item 2 of the balance sheet)	SC- M - Memo	BHSP8517	14	Numeric
M.7.c	Fair value of equity securities with readily determinable fair values (included in item 2 of the bal sheet) 1	SC- M - Memo	BHSPHT95	14	Numeric
M.8.a	Total off-balance-sheet activities conducted either directly or through a nonbank subsidiary	SC- M - Memo	BHSPF074	14	Numeric
M.8.b	Total debt and equity securities (other than trust preferred securities) outstanding that are registered with the Securities and Exchange Commission	SC- M - Memo	BHSPF075	14	Numeric
M.9	Balances held by the subsidiary bank(s) due from nonbank subsidiaries of the parent holding company	SC- M - Memo	BHSP6796	14	Numeric
M.10	Balances held by the subsidiary bank(s) due to nonbank subsidiaries of the parent holding company	SC- M - Memo	BHSP6797	14	Numeric
M.11	Other assets (only report amounts that exceed 25 percent of balance sheet, line item 7).	SC- M - Memo			
M.11.a	Accounts receivable	SC- M - Memo	BHSPA024	14	Numeric
M.11.b	Income taxes receivable	SC- M - Memo	BHSPC256	14	Numeric
M.11.c	Premises and fixed assets	SC- M - Memo	BHSP2145	14	Numeric
M.11.d	Net deferred tax assets	SC- M - Memo	BHSP2148	14	Numeric
M.11.e	Cash surrender value of life insurance policies	SC- M - Memo	BHSPC009	14	Numeric
M.11.f	Description	SC- M - Memo	TEXT8520	192	Numeric
M.11.f	Amount	SC- M - Memo	BHSP8520	14	Numeric
M.11.g	Description	SC- M - Memo	TEXT8521	192	Numeric
M.11.g	Amount	SC- M - Memo	BHSP8521	14	Numeric
M.11.h	Description	SC- M - Memo	TEXT8522	192	Numeric
M.11.h	Amount	SC- M - Memo	BHSP8522	14	Numeric

Item	Item Description	Schedule	MDRM	Item Length	Item Type
M.12	Other liabilities (only report amounts that exceed 25 percent of balance sheet, line item 13).	SC- M - Memo			
M.12.a	Accounts payable	SC- M - Memo	BHSP3066	14	Numeric
M.12.b	Income taxes payable	SC- M - Memo	BHSPC257	14	Numeric
M.12.c	Dividends payable	SC- M - Memo	BHSP2932	14	Numeric
M.12.d	Net deferred tax liabilities	SC- M - Memo	BHSP3049	14	Numeric
M.12.e	Description	SC- M - Memo	TEXT8523	14	Numeric
M.12.e	Amount	SC- M - Memo	BHSP8523	14	Numeric
M.12.f	Description	SC- M - Memo	TEXT8524	14	Numeric
M.12.f	Amount	SC- M - Memo	BHSP8524	14	Numeric
M.12.g	Description	SC- M - Memo	TEXT8525	14	Numeric
M.12.g	Amount	SC- M - Memo	BHSP8525	14	Numeric
M.13	Notes payable to special-purpose subsidiaries that issued trust preferred sec (included in balance sheet, item 14.b)	SC- M - Memo	BHSPC255	14	Numeric
M.14	If the answer to this question is no, complete the FR Y-10	SC- M - Memo	BHSP6416	1	Numeric
M.14	Name of holding company official verifying FRY-10 reporting	SC- M - Memo	TEXT6428	72	Numeric
M.14	Area Code / Phone Number	SC- M - Memo	TEXT9009	72	Numeric
M.15	Short-term borrowings included in balance sheet item 14.b	SC- M - Memo			
M.15.a	From parent holding company	SC- M - Memo	BHSP3524	14	Numeric
M.15.b	From subsidiary holding company	SC- M - Memo	BHSP3526	14	Numeric
M.16	Long-term borrowings included in balance sheet item 14.b	SC- M - Memo			
M.16.a	From parent holding company	SC- M - Memo	BHSP3525	14	Numeric
M.16.b	From subsidiary holding company	SC- M - Memo	BHSP3527	14	Numeric
M.17.a	Total combined nonbank assets of nonbank subsidiaries	SC- M - Memo	BHSP4778	14	Numeric
M.17.b	Total combined loans and leases of nonbank subsidiaries	SC- M - Memo	BHSPC427	14	Numeric
M.17.c	Total aggregate operating revenue of nonbank subsidiaries	SC- M - Memo	BHSPC428	14	Numeric
M.17.d	Combined thrift assets included in 17.a (to be completed by a bank holding company)	SC- M - Memo	BHSP2792	14	Numeric
M.17.e	Number of nonbank subsidiaries included in 17.a	SC- M - Memo	BHSP2794	14	Numeric
M.17.f	Number of thrift subsidiaries included in 17.d (to be completed by a bank holding company)	SC- M - Memo	BHSP2796	14	Numeric
M.18	Does the holding company hold, either directly or indirectly through a subsidiary or affiliate, any nonfinancial equity investments (see instructions for definition) within a Small Business Investment Company (SBIC) structure, or under section 4(c)(6) or 4(c)(7) of the Bank Holding Company Act, or pursuant to the merchant banking authority of section 4(k)(4)(H) of the Bank Holding Company Act, or pursuant to the investment authority granted by Regulation K? (Enter "1" for Yes; enter "0" for No.)	SC- M - Memo	BHSPC161	1	Numeric
M.19	Do your aggregate nonfinancial equity investments (see instructions for definition) equal or exceed (on an acquisition cost basis) 10 percent of the holding company's total capital as of the report date? (Enter "1" for Yes; enter "0" for No.)	SC- M - Memo	BHSPC159	1	Numeric
M.20.a	Has the holding company sold or otherwise liquidated its holding of any nonfinancial equity investment since the previous period? (Enter "1" for Yes; enter "0" for No.)	SC- M - Memo	BHSPC700	14	Numeric
M.20.b	Does the holding company manage any nonfinancial equity investments for the benefit of others? (Enter "1" for Yes; enter "0" for No.)	SC- M - Memo	BHSPC701	1	Numeric
M.21	Net assets of broker-dealer subsidiaries engaged in underwriting or dealing securities pursuant to Section 4(k)(4)(E) of the Bank Holding Company Act as amended by the Gramm-Leach-Bliley Act	SC- M - Memo	BHSPC252	1	Numeric
M.22	Net assets of subsidiaries engaged in insurance or reinsurance underwriting pursuant to Section 4(k)(4)(B) of the Bank Holding Company Act as amended by the Gramm-Leach-Bliley Act	SC- M - Memo	BHSPC253	14	Numeric
M.23.a	Senior perpetual preferred stock or similar items	SC- M - Memo	BHSPG234	14	Numeric
M.23.b	Warrants to purchase common stock or similar items	SC- M - Memo	BHSPG235	14	Numeric

Item	Item Description	Schedule	MDRM	Item Length	Item Type
1	Amount -1	Notes to Financial Statement	BHSPK141	14	Numeric
2	Description - 2	Notes to Financial Statement	TEXT8527	192	Numeric
2	Amount - 2	Notes to Financial Statement	BHSP8527	14	Numeric
3	Description - 3	Notes to Financial Statement	TEXT8528	192	Numeric
3	Amount - 3	Notes to Financial Statement	BHSP8528	14	Numeric
4	Description - 4	Notes to Financial Statement	TEXT8529	192	Numeric
4	Amount - 4	Notes to Financial Statement	BHSP8529	14	Numeric
5	Description - 5	Notes to Financial Statement	TEXT8530	192	Numeric
5	Amount - 5	Notes to Financial Statement	BHSP8530	14	Numeric