

Reporting Central User Guide

FR Y-12

Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies

Federal Reserve System

STATISTICS FUNCTION

April 2026

Options for submitting

1. **XML File.** See the XML Upload user guide for the steps on how to create an XML file.
2. **Text File.** See the File Upload user guide, located within the Reporting Central General User Guide, for the steps on how to create a Text file.
3. **Data Entry**

XML Header Rows

The following text will appear at the beginning of each of your XML files for the FR Y-12. The text provided here can be copied into your XML file.

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<!--Sample XML file generated by XMLSpy v2015 sp1 (x64) (http://www.altova.com)-->
```

```
<reportingFile xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd"
createTime="09:30:47+05:00" createDate="1957-08-13" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
```

How the XML File Is Structured

Each XML file contains financial data for one reporting entity and asof date. The data in the file is organized into the following sections.

- Reporting File
 - Create date
 - Create time
- Report
 - Respondent Id
 - As Of Date
 - Series Id
- Item Data
 - Context used for data in Cover Page, Schedule A: Type of Investments, schedule B Type of Security, Schedule C: Type of Entity within the Banking Organization and Schedule D: Nonfinancial Investment Transactions During Reporting Period

XML structure or schema:

```
<?xml version="1.0" encoding="UTF-8"?>
<reportingFile xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" createDate="1957-08-13"
createTime="09:30:47+05:00" xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd">
<report series="FRY12" asOfDate="2024-12-31" reporterId="12345">

<!-- Cover Page items -->
<itemData confidential="N" estimated="N" mdrm=" MDRM1111"> <value>value for "MDRM1111" </value></itemData>

<!-- Schedule items -->
<itemData confidential="N" estimated="N" mdrm=" MDRM1116"> <value>value for "MDRM1116" </value></itemData>
<itemData confidential="N" estimated="N" mdrm=" MDRM1117"> <value>value for "MDRM1117" </value></itemData>

</report>
</reportingFile>
```

Reporting File is a wrapper that encapsulates all the data in the file; it must include the create date and time of the file.

Report is a wrapper that encapsulates all the data items; it must include attributes to identify the respondent Reporter Id, the report As of Date, and the report Series Id.

Item Data is a wrapper that encapsulates the report data values for the reporting entity and as of date.

Important: The upload xml file created can have any name but must have a .xml file extension as the file type.

XML Tags and Their Meanings

XML Tag/Attribute	Meaning/How Used
<pre><?xml version="1.0" encoding="UTF-8"?> <reportingFile xmlns:xsi="http://www.w3.org/2001/XMLSchema- instance" createDate="2016-05-20" createTime="09:30:47+05:00" xsi:noNamespaceSchemaLocation="RCInputXML- 1.0-20160520.xsd"></pre>	***NOTE*** The code in the first 3 lines of the file is to be implemented as is, do not change the dates or time. This information is used by Reporting Central to identify the XML schema used by the system. The RCInputXML-1.0-20160520.xsd is not necessary for the creation of the xml file and will not be provided since it is part of the Reporting Central submission system. We do not recommend opening the generated xml file with any third-party applications as those applications would not contain the framework and backend files on the Reporting Central system.
<reportingFile> ... </reportingFile>	A tag used to encapsulate all the data in the file. Note all tags must have an opening and ending version.
xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd"	Attribute of the reportingFile tag which specifies the location of the Schema.
createTime="09:30:47+05:00"	Attribute of the reportingFile tag which specifies the time the file was created. For the createTime, use the value provided here.
createDate="2016-08-13"	Attribute of the reportingFile tag which specifies the date the file was created. For the createDate, use the value provided here.
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"	Attribute of the reportingFile tag which tells the schema-validator that all elements used in the XML file are declared in the "http://www.w3.org/2001/XMLSchema-instance" namespace.
<report> ... </report>	A tag used to identify the series name, the reporting entity and the as of date of the data. Note all tags must have an opening and ending version.
reporterId="12345"	Attribute of the report tag which specifies the respondent ID. Leading zeros of the respondent ID should not be included; therefore, this field does not have to be 10 digits unlike a text file format. Example: respondent ID 0000012345 is reported as 12345.
asOfDate="2024-12-31"	Attribute of the report tag which specifies the as of date of the report. YYYY-MM-DD format
series="FRY12"	Attribute of the report tag which specifies the series name.
<pre><!-- Cover Page items --> <!-- Schedule items --></pre>	Optional reference item that the reporter can include in their file as reference points, for example to identify breaks between the Cover page and the Schedules. These do not need to be included in the XML file to submit. These can be named whatever the user wants to name them; those provided are just examples but they do have to be in the <!-- --> format.
<itemData> ... </itemData>	A tag used to encapsulate the item and its value from the report. Note all tags must have an opening and ending version.
confidential="N"	This series does allow for confidentiality requests; however the confidential tag should always equal "N". To request confidentiality for this series use the Confidential Treatment fields BHEIC447 and BHEICKY38 on the cover page in Reporting Central.
estimated="N"	This series does not allow for estimation for external filers. The estimated tag for all rows should equal "N". Do not set this to "Y" as this provides no meaningful information to the report submission. If you need to estimate your data please contact your Reserve Bank contact.
mdrm="MDRM9999"	Attribute of the itemData tag which identifies a specific item in the

XML Tag/Attribute	Meaning/How Used
	report. Attribute of the context tag which identifies a group of items in the report. Each item will have its own MDRM which are included in the Report Detailed Field Specifications below.
<value> ... </value>	A tag encapsulated within itemData tag and contains the value of the item. Note all tags must have an opening and ending version.
value="1"	Attribute of the context tag which identifies the order of in the item group.
<context> ... </context>	An options tag encapsulated within itemData tag used to identify the order of a variable list of items. Note all tags must have an opening and ending version.

Example FRY12 XML File Upload

The following FRY12 XML file upload example includes sample items for the cover page, Schedule A, B, C, and D.

```
<?xml version="1.0" encoding="UTF-8"?>
<reportingFile xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" createDate="1957-08-13" createTime="09:30:47+05:00"
xsi:noNamespaceSchemaLocation="RCInputXML-1.0-20160520.xsd">
  <report series="FRY12" asOfDate="2024-12-31" reporterId="12345">
    <!-- Cover Page items -->
    <itemData confidential="N" estimated="N" mdrm="BHEI8901"><value>John Smith</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEI8902"><value>test</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEI4086"><value>c.test@gmail.com</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC490"><value>officer name</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC491"><value>officer title</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIJ196"><value>12/31/2023</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="RSSD9017"><value>Legal Name</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="RSSD9110"><value>1 Main St</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="RSSD9130"><value>New York</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="RSSD9200"><value>NY</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="RSSD9220"><value>10001</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC447"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIKY38"><value></value></itemData>

    <!-- Schedule A items -->
    <itemData confidential="N" estimated="N" mdrm="BHEIC088"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF30"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF37"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC090"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC091"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC093"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF31"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF38"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC095"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC097"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF32"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF39"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC099"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC101"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF33"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF40"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC103"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC100"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC104"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILE62"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEILF41"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC106"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIB498"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC716"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIJ443"><value>0</value></itemData>

    <!-- Schedule B items -->
    <itemData confidential="N" estimated="N" mdrm="BHEIC107"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC108"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC109"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC110"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC111"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC112"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC113"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC114"><value>0</value></itemData>
    <itemData confidential="N" estimated="N" mdrm="BHEIC115"><value>0</value></itemData>
```


Uploading the file to Reporting Central

In Reporting Central, select the **Upload File option**, then the “Upload your files here” tab and navigate to the stored text file and select the file name so it appears in the **File:box**. Select **Open** to choose the file. In Reporting Central, select **Continue** to upload the file.

Board of Governors of the Federal Reserve System

Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies—FR Y-12

Report at the close of business as of the last calendar day of the reporting period.

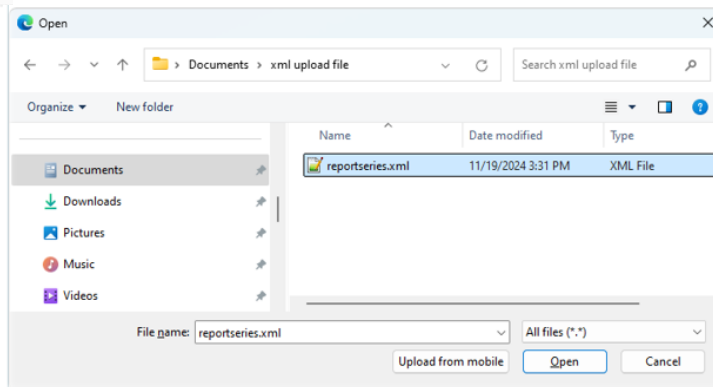
This report is required by law: Section 5(c) of the BHC Act (12 U.S.C. § 1844(c)), section 10 of HOLA (12 U.S.C § 1467a(b)), section 165 of the Dodd-Frank Act (12 U.S.C. § 5365), and section 252.153(b)(2) of Regulation YY (12 CFR 252.153(b)(2)).

The Consolidated Holding Company Report of Equity Investment Companies is to be prepared in accordance with the instructions Federal Reserve System. The Federal Reserve may not conduct an organization (or a person) is not required to respond to, a collect unless it displays a currently valid OMB control number.

NOTE: The Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies must be signed and attested by an Executive Officer of the reporting holding company.

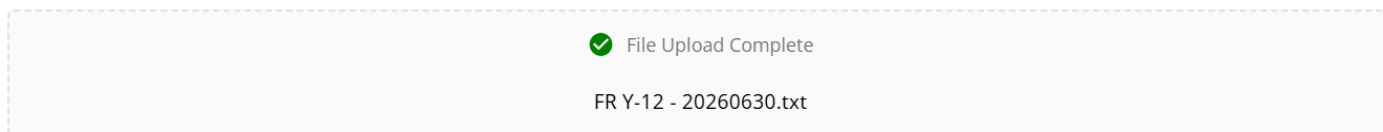
I, the undersigned Executive Officer of the named holding company, certify that the Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and is true and correct to the best of my knowledge and belief.

File Upload



If there are no formatting issues during the file upload you will get a message that the file upload is completed and the data will load directly into the report screen.

File Upload



The report data can then be validated and saved. If errors exist in the text file, error messages will appear in the file upload window, which can be used to troubleshoot any formatting issues.

Preparing the File Upload for the FR Y-12

The FR Y-12 report is comprised of both financial and text fields. To reduce issues arising during the creation of the Reporting Central XML file, it is strongly recommended that institutions follow the process described below.

Field Values

Please only include reportable data in the submission file, do not zero fill in the report if the item has a null value. Shaded items are not reportable, please refer to report form on the FFIEC website. Decimals are not reportable on the FR Y-12.

Field Character Limits

Standard financial data are reported in thousands and allow entry of up to 14 digits, with no decimal places. For nonstandard financial limits and text item character limits, see the below table.

Cover Page

Report items on this page are treated as TEXT data values.

Item	Character Length	Delimiter
Contact Information - Name/Title	72	BHEI8901
Contact Information - Phone Number	30	BHEI8902
Contact Information - Email Address	72	BHEI4086
Executive Officer - Name	72	BHEIC490
Executive Officer - Title	72	BHEIC491
Executive Officer - Date of Signature (MM/DD/YYYY)	30	BHEIJ196
Holding Company - Legal Name	72	RSSD9017
Holding Company - Mailing Address	72	RSSD9110
Holding Company - City	72	RSSD9130
Holding Company - State	72	RSSD9200
Holding Company - Zip Code	30	RSSD9220
Is Confidential Treatment Requested	1	BHEIC447
Confidential Letter Provided w/o Report	1	BHEIKY38

Schedule A: Type of Investments

Item	Delimiter	Column A	Column B	Column C	Column D	Column E
1.	BHEIC088	BHEIC088	BHEILF30	BHEILF37	BHEIC090	BHEIC091
2.	BHEIC093	BHEIC093	BHEILF31	BHEILF38	BHEIC095	
3.	BHEIC097	BHEIC097	BHEILF32	BHEILF39	BHEIC099	
4.	BHEIC101	BHEIC101	BHEILF33	BHEILF40	BHEIC103	
M.1.	BHEIC100	BHEIC100				
M.2.	BHEIC104	BHEIC104	BHEILE62	BHEILF41	BHEIC106	
M.3.	BHEIB498	BHEIB498				
M.4.	BHEIC716	BHEIC716				
M.5.	BHEIJ443	BHEIJ443				

- BHEIC100 is reported with a maximum data length of 3 digits.

Schedule B: Type of Security

Item	Deliminator	Column A	Column B
1.	BHEIC107	BHEIC107	BHEIC108
2.	BHEIC109	BHEIC109	BHEIC110
3.	BHEIC111	BHEIC111	BHEIC112
4.	BHEIC113	BHEIC113	BHEIC114
M.1.	BHEIC115	BHEIC115	
M.2.	BHEIC717	BHEIC717	

- **BHEIC717** is reported with a maximum data length of 1 digit.

Schedule C: Type of Entity within the Banking Organization

Item	Deliminator	Column A	Column B	Column C	Column D
1.a.	BHEIC117	BHEIC117	BHEILE63	BHEILF45	BHEIC118
1.b.	BHEIC121	BHEIC121	BHEILE64	BHEILF46	BHEIC122
1.c.	BHEIC126	BHEIC126	BHEILE65	BHEILF47	BHEIC127
2.a.	BHEIC136	BHEIC136	BHEILE66	BHEILF48	BHEIC137
2.b.	BHEIC722	BHEIC722	BHEILE67	BHEILF49	BHEIC724
2.c.	BHEIC131	BHEIC131	BHEILE68	BHEILF50	BHEIC132
2.d.	BHEIC726	BHEIC726	BHEILE69	BHEILF51	BHEIC728
2.e.	BHEIC145	BHEIC145	BHEILE70	BHEILF52	BHEIC146
3.	BHEIC150	BHEIC150	BHEILE71	BHEILF53	BHEIC151
M.1.	BHEIC155	BHEIC155	BHEILE72	BHEILF54	BHEIC156
M.2.	BHEIC157	BHEIC157	BHEILE73	BHEILF55	BHEIC158

Schedule D: Nonfinancial Investment Transactions During Reporting Period

Item	Deliminator	Column A	Column B	Column C	Column D	Column E	Column F
1.	BHEIC731	BHEIC731	BHEIC732	BHEIJ434	BHEIJ435	BHEIC733	BHEIC734
2.	BHEIC735	BHEIC735	BHEIC736	BHEIJ436	BHEIJ437	BHEIC737	BHEIC738
3.	BHEIC739		BHEIC739		BHEIJ438		BHEIC740
4.	BHEIC741	BHEIC741	BHEIC742	BHEIJ439	BHEIJ440	BHEIC743	BHEIC744
5.	BHEIC745	BHEIC745	BHEIC746	BHEIJ441	BHEIJ442	BHEIC747	BHEIC748